



SSIS Software Specification

Title IV-E Abstract Report – Detail Requirements

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Revision History

Revision	Revision Description	Updated by	Date
Original	Original Document for the Quarter 1, 2012 report, release v12.1	Theresa Hill	03/08/12
1	v12.1 <ul style="list-style-type: none"> • Add Rock County to the Lincoln/Lyon/Murray (SWHHS) region (CNTY_CD = 88) in the Agency of Financial Responsibility edits using the new multi-county tables (PR # 12-0223-1834-46) v12.2 <ul style="list-style-type: none"> • Exclude Placements that started on or before 3/27/2000 from the edit requiring the Best Interest Statement to be on or before the "Effective Date" of the Court Ordered Authority (PR #12-0307-1652-08) 	Theresa Hill	04/13/12
2	v12.3 <ul style="list-style-type: none"> • Add Birth Date and PMI # fields to IV-E Claim table. Updates to generate process (PR #12-0413-1514-21) • Count children in a relative setting with a pending license for no more than one year (PR #12-0413-1652-45) • Payment for EFC-SIL, Childcare Costs and Educational Transportation can be pre-paid, no more than 13 months in advance (PR # 12-0413-1757-29) 	Theresa Hill	10/31/12
3	v13.2 <ul style="list-style-type: none"> • Changes to use MAXIS IV-E Eligibility and Reimbursability test results field (PR #13-0107-1640-23 (generate), #13-0107-1711-04 (proofing)) • Changes for new IV-E Group Provider Rates (PR #13-0107-1741-37 (generate), #13-0107-1745-36 (proofing)) • Changed proofing message #1216 (Local Agency is not the COFR) to use new field label (PR #13-0222-1542-32) • New warning messages: <ul style="list-style-type: none"> • #1147 – Extension Review is Overdue Extended (PR #13-0111-1551-58) • #1148 (Educational Transportation for Ages 19-20) (PR #12-0625-1400-59) • #1207 (EFC-SIL - One Day Payment) (PR #13-0111-1504-45) 	Theresa Hill	05/03/13

Revision	Revision Description	Updated by	Date
3 (continued)	v13.2 (continued) <ul style="list-style-type: none"> • New error messages: <ul style="list-style-type: none"> • #1154 (Not IV-E Eligible – Inconclusive) (PR #13-0107-1725-38) • #1157 (Service Dates before IV-E Eligibility Begins) (PR #13-0107-1715-16) • New edits: <ul style="list-style-type: none"> • Exclude payments if Tribe on a License is unknown (PR #13-0118-1342-42 (generate), #13-0118-1310-51 (proofing)) • Use Supervising Agency "Effective Date", (PR #13-0118-1430-06 (generate), #13-0124-1900-24 (proofing)) 		
4	v13.3 <ul style="list-style-type: none"> • New edit: <ul style="list-style-type: none"> • Exclude payments if an Exclusion record exists (PR # 13-0531-1510-03 (generate), # 13-0531-1514-17 (proofing)) 	Theresa Hill	08/19/13

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SECTION ONE: OVERVIEW

1.0 Introduction

This document contains the detail requirements to produce the Title IV-E Abstract Report.

1.1 DHS/FOD Requirements

This section contains detail requirements from the DHS/FOD bulletin, "Title IV-E Foster Care Abstract and Claiming Process."

1.1.1 General Title IV-E Abstract Report Requirements

The following requirements apply to the Title IV-E Abstract Report as a whole.

Requirement	Comments	Identifier
The Report Period for the Title IV-E Abstract Report is a calendar quarter.		D1.1
The local agency must retain Title IV-E Abstract Reports with a "Status" of "Finalized" or "Submitted."	The State Reporting Framework only allows deletion of "Draft" Title IV-E Abstract Reports.	D1.2
Users can only create a new Title IV-E Abstract Report if another Title IV-E Abstract Report with a "Status" of "Draft" or "Finalized" does not already exist.		D1.3 Design Decision
Submit all claims, child counts and recoveries to DHS/FOD as a single report.		D1.4
When the Title IV-E Abstract Report is submitted, display a confirmation message if a Recovery record does not exist for the report.		D1.5 Design Decision
Use the "Warrant / Effective Date" to determine the quarter to claim a Payment.		D1.6
Create the following sets of information: <ul style="list-style-type: none">• IV-E claims• Child counts• Recoveries	Detailed requirements for each set of information are described later in this section.	D1.7
Local agencies cannot revise a submitted Title IV-E Abstract Report.	Only one Title IV-E Abstract Report can exist for a quarter.	D1.8

1.1.2 IV-E Claims

This section contains the requirements for IV-E Claims.

Requirement	Comments	Identifier																				
<p>Include the following fields on the claim</p> <table border="1" data-bbox="241 390 761 1209"> <thead> <tr> <th>Field Name</th> </tr> </thead> <tbody> <tr><td>Client Name</td></tr> <tr><td>PMI #</td></tr> <tr><td>Date of Birth</td></tr> <tr><td>Reported Age</td></tr> <tr><td>Extended Eligibility</td></tr> <tr><td>DOC Points</td></tr> <tr><td>Continuous Placement Start Date</td></tr> <tr><td>Initial Best Interest Date</td></tr> <tr><td>VPA Signed Date</td></tr> <tr><td>Initial Placement Authority</td></tr> <tr><td>Provider Type</td></tr> <tr><td>IV-E Service Type</td></tr> <tr><td>Rule Code</td></tr> <tr><td>Service Vendor Name</td></tr> <tr><td>Reported License</td></tr> <tr><td>Service Dates (Service Start Date & Service End Date)</td></tr> <tr><td>Claim Amount</td></tr> <tr><td>Warrant / Eff Date</td></tr> <tr><td>Rule 5 Room and Board</td></tr> </tbody> </table>	Field Name	Client Name	PMI #	Date of Birth	Reported Age	Extended Eligibility	DOC Points	Continuous Placement Start Date	Initial Best Interest Date	VPA Signed Date	Initial Placement Authority	Provider Type	IV-E Service Type	Rule Code	Service Vendor Name	Reported License	Service Dates (Service Start Date & Service End Date)	Claim Amount	Warrant / Eff Date	Rule 5 Room and Board	<p>See Section 1.1.2.7, "IV-E Claim Field Rules," starting on page 14 for details on each field.</p>	<p>D2.1</p>
Field Name																						
Client Name																						
PMI #																						
Date of Birth																						
Reported Age																						
Extended Eligibility																						
DOC Points																						
Continuous Placement Start Date																						
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Reported License																						
Service Dates (Service Start Date & Service End Date)																						
Claim Amount																						
Warrant / Eff Date																						
Rule 5 Room and Board																						
<p>Include the following fields additional fields:</p> <table border="1" data-bbox="237 1276 766 1522"> <thead> <tr> <th>Field Name</th> </tr> </thead> <tbody> <tr><td>IV-E Claim #</td></tr> <tr><td>Payment #</td></tr> <tr><td>Cont Placement #</td></tr> <tr><td>SSIS Person #</td></tr> <tr><td>Service Vendor's SSIS Bus. Org. #</td></tr> </tbody> </table>	Field Name	IV-E Claim #	Payment #	Cont Placement #	SSIS Person #	Service Vendor's SSIS Bus. Org. #	<p>See Section 1.1.2.7, "IV-E Claim Field Rules," starting on page 14 for details on each field.</p>	<p>D2.2 Design Decision</p>														
Field Name																						
IV-E Claim #																						
Payment #																						
Cont Placement #																						
SSIS Person #																						
Service Vendor's SSIS Bus. Org. #																						
<p>For Claims with a negative "Claim Amount", include the following fields additional fields:</p> <table border="1" data-bbox="241 1631 761 1757"> <thead> <tr> <th>Field Name</th> </tr> </thead> <tbody> <tr><td>Original IV-E Claim #</td></tr> <tr><td>Original Payment #</td></tr> </tbody> </table>	Field Name	Original IV-E Claim #	Original Payment #	<p>See Section 1.1.2.9, "Negative IV-E Claims," starting on page 49 for details on each field.</p>	<p>D2.3 Design Decision</p>																	
Field Name																						
Original IV-E Claim #																						
Original Payment #																						

1.1.2.1 IV-E Reimbursable Rules

This section contains the rules related to the "IV-E Reimbursable" field entered on payments in SSIS.

Requirement	Comments	Identifier
Create claims only for payments where the "IV-E Reimbursable" field is "Yes" (Proofing #1201)	"IV-E Reimbursable" = Yes IVE_REIMB_IND = 'Y'	D2.4 Design Decision

1.1.2.2 Title IV-E Abstract Exclusion Rules

This section contains the rules related to the **Exclusion** records in SSIS.

Requirement	Comments	Identifier
Create claims only for payments where an Exclusion record for the Title IV-E Abstract Report does not exist (Proofing #1250) Note: This rule does not apply to the Child Count generate process	(select count(*) from EXCL_PYMT_XREF X where PAYMENT_ID = X.PAYMENT_ID and EXCL_MODULE_CD = '01') = 0	D2.5 Design Decision

1.1.2.3 IV-E Placement Rules

This section contains the rules related to the Placement/Location/Absence information entered into SSIS.

Requirement	Comments	Identifier						
A IV-E Placement must exist for the service dates for the following IV-E Service Types: <table border="1" data-bbox="207 1213 548 1461"> <thead> <tr> <th>IV-E Service Type</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>A</td> <td>Maintenance</td> </tr> <tr> <td>E</td> <td>Basic Maintenance</td> </tr> </tbody> </table>	IV-E Service Type	Description	A	Maintenance	E	Basic Maintenance	All other IV-E Service Types require a Maintenance Claim , which was edited for a IV-E Placement <ul style="list-style-type: none"> Skip all placement edits if the IV-E Service Type is not A or E: IV-E_SVC_TYPE_CD not in ('A,'E') 	D2.6
IV-E Service Type	Description							
A	Maintenance							
E	Basic Maintenance							
Placements for the purpose of Courtesy Supervision ("Did another agency or state place this child – courtesy supervision by the county?" = "Yes") cannot be claimed by the supervising agency.	Include the Placement Location record only if Courtesy Supervision is not = 'Yes' (Proofing #1215) and nvl(L.COURTESY_SUPVN_IND,'N') <> 'Yes'	D2.7						

Requirement	Comments	Identifier
<p>Get all Placement, Location and Absence records anytime during the service dates</p> <ul style="list-style-type: none"> Note: Each Placement Location can have multiple History records 	<p>Exclude the Payment if no Placement, Location or Absence records exist during the service dates. (Proofing #1210)</p> <p>PlacementResults =</p> <pre> select H.PLCMT_LOC_HIST_ID, H.BUS_ORG_ID, L.PLCMT_LOC_ID, H.LOC_SETTING_CD, L.LOC_REASON_CD, trunc(L.LOC_START_DT), trunc(L.LOC_END_DT), trunc(H.LOC_EFF_DT), W.CNTY_FIN_RESP_CD from PLCMT_LOC L, PLCMT_LOC_HIST H, WORK_GROUP W where L.PLCMT_LOC_ID = H.PLCMT_LOC_ID and H.WG_ID = W.WG_ID and L.PERSON_ID = PAYMENT.PERSON_ID and L.LOC_START_DT <= PYMT_SVC_END_DT and nvl(trunc(L.LOC_END_DT), sysdate+365) >= PYMT_SVC_START_DT and H.LOC_EFF_DT <= PYMT_SVC_END_DT and nvl(L.COURTESY_SUPVN_IND,'N') <> 'Y') order by LOC_EFF_DT desc, LOC_START_DT desc </pre> <ul style="list-style-type: none"> Exclude the payment if no results are returned 	<p>D2.8 Design Edit</p> <p>SSIS Source</p>
<p>Get all IV-E Placements where the service dates are within the placement dates</p>	<p>Exclude the Payment if no IV-E Placements exist for the service dates. (Proofing #1210, #1211)</p> <p>IV-EPlacementResults =</p> <pre> select * from PlacementResults where LOC_REASON_CD not in ('04','12','14') and LOC_SETTING_CD in ('01', '02', '03', '04', '05', '06', '07', '09', '10', '11') and PYMT_SVC_START_DT between LOC_START_DT and nvl(LOC_END_DT,sysdate + 365) and PYMT_SVC_END_DT between LOC_START_DT and nvl(LOC_END_DT,sysdate + 365) order by LOC_EFF_DT desc, LOC_START_DT desc </pre> <ul style="list-style-type: none"> Exclude the payment if no results are returned 	<p>D2.9</p> <p>SSIS Source</p>

Requirement	Comments	Identifier
<p>With the exception of EFC-SIL Payments, the Bus Org on the Placement must match the Service Vendor on the payment.</p>	<p>Exclude the Payment if a History with the Payment's Service Vendor does not exist for the Placement Location. (Proofing #1214)</p>	<p>D2.10 Design Edit</p>
	<ul style="list-style-type: none"> Loop through IV-EPlacementResults for a History record for the payment's Service Vendor with an effect date prior to the service dates If (ServiceCode <> '188' or BUS_ORG_ID = PAYMENT.SVC_BUS_ORG_ID) and LOC_EFF_DT <= PYMT_SVC_START_DT valid History record, exit loop Exclude the payment if a history record is not found 	<p>SSIS Source</p>
	<p>Exclude the Payment if another History record with a different Bus Org exists with an Effective Date that is between the Effective Date of the matching Bus Org and the payment's Service End Date. (Proofing #1213)</p>	<p>D2.11 Design Edit</p>
	<ul style="list-style-type: none"> Exclude the payment if both of the following are true: <ul style="list-style-type: none"> The ServiceCode <> '188' A History record for another Bus Org exists <pre> SelectedLOC_ID = IV-EPlacementResults. PLCMT_LOC_ID SelectedHIST_ID = IV-EPlacementResults. PLCMT_LOC_HIST_ID SelectedEFF_DT = IV-EPlacementResults.LOC_EFF_DT (select count(*) from IV-EPlacementResults where PLCMT_LOC_ID = SelectedLOC_ID and PLCMT_LOC_HIST_ID <> SelectedHIST_ID and LOC_EFF_DT >= SelectedEFF_DT and BUS_ORG_ID <> PAYMENT.SVC_BUS_ORG_ID) > 0 </pre> 	<p>SSIS Source</p>

Requirement	Comments	Identifier																								
<p>Create claims only for children for whom the local agency is the "Agency of Financial Responsibility."</p> <p>Following is a list of regions and the valid county codes for each region:</p> <table border="1" data-bbox="217 531 542 1045"> <thead> <tr> <th colspan="2" data-bbox="217 531 542 577">SWHHS (88)</th> </tr> <tr> <th data-bbox="217 583 431 625">Description</th> <th data-bbox="436 583 542 625">Code</th> </tr> </thead> <tbody> <tr> <td data-bbox="217 632 431 667">Lincoln</td> <td data-bbox="436 632 542 667">41</td> </tr> <tr> <td data-bbox="217 674 431 709">Lyon</td> <td data-bbox="436 674 542 709">42</td> </tr> <tr> <td data-bbox="217 716 431 751">Murray</td> <td data-bbox="436 716 542 751">51</td> </tr> <tr> <td data-bbox="217 758 431 793">Pipestone</td> <td data-bbox="436 758 542 793">59</td> </tr> <tr> <td data-bbox="217 800 431 835">Redwood</td> <td data-bbox="436 800 542 835">64</td> </tr> <tr> <td data-bbox="217 842 431 877">Rock</td> <td data-bbox="436 842 542 877">67</td> </tr> <tr> <th colspan="2" data-bbox="217 884 542 926">F/M (92)</th> </tr> <tr> <th data-bbox="217 932 431 974">Description</th> <th data-bbox="436 932 542 974">Code</th> </tr> <tr> <td data-bbox="217 980 431 1016">Faribault</td> <td data-bbox="436 980 542 1016">22</td> </tr> <tr> <td data-bbox="217 1022 431 1058">Martin</td> <td data-bbox="436 1022 542 1058">46</td> </tr> </tbody> </table>	SWHHS (88)		Description	Code	Lincoln	41	Lyon	42	Murray	51	Pipestone	59	Redwood	64	Rock	67	F/M (92)		Description	Code	Faribault	22	Martin	46	<p>Use the "Agency of Financial Responsibility" for the Workgroup on the Placement Location History record in effect for the service dates.</p> <ul style="list-style-type: none"> Multiple Placement Location History records can be effective for the service dates, in which case, the Workgroup's "Agency of Financial Responsibility" must be the local agency for all effective Placement Location History records. Exclude the payment if the local agency is NOT the "Agency of Financial Responsibility" on any effective Placement Location History record. (Proofing #1216) <p>Exclude the Payment if the following is true: LocalAgency = SYSTEM_CONTROL.CNTY_CD SelectedPlacement = IV-EPlacementResults.PLCMT_LOC_ID</p> <pre>(select count(*) from IV-EPlacementResults where PLCMT_LOC_ID = SelectedPlacement and ((LocalAgency not in ('88', '92') and CNTY_FIN_RESP_CD <> LocalAgency) or (LocalAgency = '88' and CNTY_FIN_RESP_CD not in ('41', '42', '51', '67')) or (LocalAgency = '92' and CNTY_FIN_RESP_CD not in ('22', '46')))) > 0</pre>	<p>D2.12</p> <p>SSIS Source</p>
SWHHS (88)																										
Description	Code																									
Lincoln	41																									
Lyon	42																									
Murray	51																									
Pipestone	59																									
Redwood	64																									
Rock	67																									
F/M (92)																										
Description	Code																									
Faribault	22																									
Martin	46																									

Requirement	Comments	Identifier
If the client is in a IV-E Placement - EFC-SIL , the Service must be "188 - Supervised independent living (18-20)."	Exclude the payment if the following is true: (Proofing #1144) <ul style="list-style-type: none"> Client is in a IV-E Placement - EFC-SIL LOC_SETTING_CD = '07' The ServiceCode <> '188' 	D2.13 Design Edit
If the Service is "188 - Supervised independent living (18-20)," the client must be in a IV-E Placement - EFC-SIL .	Exclude the payment if the following is true: (Proofing #1145) <ul style="list-style-type: none"> The ServiceCode = '188' Client is not in a IV-E Placement - EFC-SIL LOC_SETTING_CD <> '07' 	D2.14 Design Edit
Duplicate Placement Edits		
The remaining edits in this table are duplicate placement edits		
Ignore all Duplicate Placement Edits if the Payment's "Service Start Date" equals the "Service End Date" and the date matches the Placement "Start Date" or "End Date."	Skip all duplicate placement edits if the payment service dates are for one day and they match the Placement's start or end date If PYMT_SVC_START_DT = PYMT_SVC_END_DT and (PYMT_SVC_END_DT = LOC_START_DT or PYMT_SVC_END_DT = LOC_END_DT) skip duplicate placement edits	D2.15 Design Edit
Ignore all Duplicate Placement Edits if the "Reason" is "Behavioral consequences - less than 30 days"	Skip all duplicate placement edits if the Reason is "Behavioral consequences - less than 30 days" if LOC_REASON_CD = '03' skip duplicate placement edits	D2.16 Design Edit

Requirement	Comments	Identifier
There can be only one Placement during the service dates , with the exceptions listed below	Include Placement Settings – Non-IV-E Eligible, AFCARS Placement with the Placement Settings - IV-E Eligible for all Duplicate Placement Edits	D2.17 Design Edit
Select all other overlapping Placements unless only the "Start Date" or the "End Date" overlap	<p>Exclude the payment if another Placement Location exists anytime during the service dates. (Proofing #1212)</p> <pre> SelectedPlacement = IV-EPlacementResults.PLCMT_LOC_ID select count(*) from PlacementResultSet where PLCMT_LOC_ID <> SelectedPlacement and trunc(LOC_START_DT) <> PYMT_SVC_END_DT and nvl(trunc(LOC_END_DT), sysdate + 365) <> PYMT_SVC_START_DT and LOC_REASON_CD not in ('03', '04','12','14') and LOC_SETTING_CD in ('01', '02', '03', '04', '05', '06', '07', '09', '10', '11', '12', '16')) > 0 </pre>	SSIS Source
A Location or Absence can overlap the selected IV-E Placement for a maximum of 14 days	<p>Display a warning if a Location or Absence exists for more than 14 days anytime during the service dates (Proofing #1217)</p> <pre> (select count(*) from PlacementResultSet where (LOC_REASON_CD in ('03', '04','12','14') or LOC_SETTING_CD not in ('01', '02', '03', '04', '05', '06', '07', '09', '10', '11', '12','16')) and nvl(LOC_END_DT, sysdate) - LOC_START_DT + 1 > 14) > 0 </pre>	D2.18 Design Edit

1.1.2.4 Reasonable Efforts to Finalize Permanency Rules

This section contains the rules related to the "Reasonable Efforts to Finalize Permanency" information entered on the Court screens in SSIS.

Requirement	Comments	Identifier						
<p>Reasonable Efforts to Finalize Permanency Rules apply to the following IV-E Service Types:</p> <table border="1" data-bbox="188 537 609 747"> <thead> <tr> <th data-bbox="188 537 318 653">IV-E Service Type</th> <th data-bbox="318 537 609 653">Description</th> </tr> </thead> <tbody> <tr> <td data-bbox="188 653 318 701">A</td> <td data-bbox="318 653 609 701">Maintenance</td> </tr> <tr> <td data-bbox="188 701 318 747">E</td> <td data-bbox="318 701 609 747">Basic Maintenance</td> </tr> </tbody> </table>	IV-E Service Type	Description	A	Maintenance	E	Basic Maintenance	<ul style="list-style-type: none"> All other IV-E Service Types require a Maintenance Claim, which was edited for Reasonable Efforts to Finalize Permanency Skip all reasonable efforts edits if the IV-E Service Type is not A or E: IV-E_SVC_TYPE_CD not in ('A','E') 	D2.19
IV-E Service Type	Description							
A	Maintenance							
E	Basic Maintenance							
<p>Reasonable efforts to finalize permanency are required within 14 months of the continuous placement start date, and every 12 months thereafter. (In some cases, the requirement is within 12 months and every 12 months thereafter. MAXIS does this edit so 14 months is used for simplicity.)</p> <p>If the agency does not complete the reasonable efforts to finalize permanency by the end of the month in which it is due, the child is not reimbursable until the reasonable efforts statement is completed.</p> <p>Reimbursability resumes on the first of the month in which the reasonable efforts statement is completed.</p> <p>Note: If the service dates are in the future, the Report Period End Date is used instead of the Payment "Service Start Date" to determine when the reasonable efforts statement is due.</p>	<p>Determine the date to use for comparison: If PYMT_SVC_START_DT <= Report Period End Date CompareDate = PYMT_SVC_START_DT Otherwise (future dates) CompareDate = Report Period End Date</p> <p>Reasonable efforts to finalize permanency are required within 14 months of the continuous placement start date CompareDate > last_day(add_months(trunc(CONTPLCMT_START_DT), 14))</p> <p>If the CompareDate is more than 14 months after the continuous placement start date, get the most recent reasonable efforts to finalize permanency dates prior to the payment start date:</p> <pre>select count(*) from COURT_DETAIL CD where REAS_EFF_PERM_IND = 'Y' and CD.CONTPLCMT_ID = CONTPLCMT_ID and trunc(CD.REAS_EFF_PERM_DT, 'month') between CompareDate and trunc(add_months(CompareDate, - 12), 'month')</pre> <p>Exclude the payment if a record with reasonable efforts within 12 months does not exist. (Proofing #1104)</p>	D2.20 Design Edit						

1.1.2.5 IV-E Eligibility Rules

This section contains the requirements based on IV-E Eligibility information from MAXIS.

Requirement	Comments	Identifier														
<p>A MAXIS IV-E Eligibility record must exist for the service dates with one of the following IV-E Eligibility Results:</p> <table border="1" data-bbox="212 506 583 636"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Eligible</td> </tr> <tr> <td>4</td> <td>Eligible (closed)</td> </tr> </tbody> </table> <p>Notes:</p> <ul style="list-style-type: none"> The record with the latest benefit month and timestamp is the most current record for the continuous placement and is the record used to determine eligibility. Following is a list of all possible Eligibility Results: 	Code	Description	1	Eligible	4	Eligible (closed)	<ul style="list-style-type: none"> Exclude the payment if a MAXIS IV-E eligibility record does not exist for the client (Proofing #1150) Exclude the payment if the MAXIS IV-E eligibility is Denied (Proofing #1151) Exclude the payment if the MAXIS IV-E eligibility is Closed prior to the "Service End Date" (Proofing #1152) Exclude the payment if the MAXIS IV-E eligibility is Inconclusive (Proofing #1154) (Added in v13.2, PR#13-0107-1725-38) Exclude the payment if the "Cont Placement #" on the MAXIS IV-E Eligibility record and the Continuous Placement in SSIS do not match (Proofing #1153) Exclude the payment if the MAXIS IV-E eligibility starts after the payment "Service Start Date" (Proofing #1157) (Added in v13.2, PR #13-0107-1715-16) 	D2.21								
Code	Description															
1	Eligible															
4	Eligible (closed)															
<table border="1" data-bbox="212 1014 578 1304"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Eligible</td> </tr> <tr> <td>2</td> <td>Not eligible</td> </tr> <tr> <td>3</td> <td>Unknown</td> </tr> <tr> <td>4</td> <td>Eligible (closed)</td> </tr> <tr> <td>5</td> <td>Denied</td> </tr> <tr> <td>6</td> <td>Inconclusive</td> </tr> </tbody> </table> <p>Changed to use Eligibility Results field (IVE_ELIGIBLE_CD) instead of recalculating results, (v13.2, generate PR #13-0107-1640-23, proofing PR #13-0107-1711-04)</p>	Code	Description	1	Eligible	2	Not eligible	3	Unknown	4	Eligible (closed)	5	Denied	6	Inconclusive	<p>A MAXIS IV-E Eligibility record must exist indicating the client is IV-E Eligible on the service dates:</p> <pre> select * from DI_CHILD_ELIG E where E.PERSON_ID = PERSON_ID and E.CONTPLCMT_ID = CONTPLCMT_ID and PYMT_SVC_START_DT >= E.ELIG_BEGIN_DT and PYMT_SVC_END_DT <= nvl(E.ELIG_END_DT, PYMT_SVC_END_DT) and BENEFIT_YR_MONTH is not null and DATA_TYPE = 'ELIG' order by BENEFIT_YR_MONTH desc, TIMESTAMP_DT desc, DI_CHILD_ELIG_ID desc </pre> <p>Use the results from the first row returned E.IVE_ELIGIBLE_CD in ('1','4')</p>	SSIS Source
Code	Description															
1	Eligible															
2	Not eligible															
3	Unknown															
4	Eligible (closed)															
5	Denied															
6	Inconclusive															

Requirement	Comments	Identifier
<p>If the initial Placement Authority = Voluntary, the "Effective Date" of the Authority record must match the "Voluntary Plcmt. Agmt." date on the MAXIS IV-E Eligibility record.</p>	<p>Exclude the payment if the "Effective Date" of the Voluntary Placement Authority and the MAXIS "Voluntary Plcmt. Agmt." date do not match (Proofing #1155)</p> <ul style="list-style-type: none"> • PLACE_AUTH_CD = '1' • trunc(PLACE_AUTH_EFF_DT) <> DI_CHILD_ELIG.VOL_PLCMT_AGRMT_DT 	<p>D2.22 Design Edit</p>
<p>If the initial Placement Authority = Court Ordered, the "Best Interest Stmt." on the IV-E Eligibility record from MAXIS must have a value and the value must match the initial Best Interest Date in SSIS. Otherwise (Initial Placement Authority = Voluntary), if the "Best Interest Stmt." on the IV-E Eligibility record from MAXIS has a value, it must match the initial Best Interest Date.</p>	<ul style="list-style-type: none"> • Exclude the payment if the initial Placement Authority = Court Ordered and the "Best Interest Stmt." on the IV-E Eligibility record from MAXIS is blank or does not match. (Proofing #1156) <ul style="list-style-type: none"> • PLACE_AUTH_CD = '2' and DI_CHILD_ELIG.BEST_INTEREST_DT is null or • DI_CHILD_ELIG.BEST_INTEREST_DT is not null and BEST_INTEREST_DT <> DI_CHILD_ELIG.BEST_INTEREST_DT 	<p>D2.23 Design Edit</p>

1.1.2.6 IV-E Reimbursability Rules

This section contains the requirements based on IV-E Reimbursability information from MAXIS.

Requirement	Comments	Identifier						
<p>Create claims only if the MAXIS IV-E Reimbursability information indicates the client is IV-E reimbursable.</p> <p>The IV-E Reimbursability Results will contain one of the following values:</p> <table border="1" data-bbox="212 611 607 741"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Non reimbursable</td> </tr> <tr> <td>2</td> <td>Reimbursable</td> </tr> </tbody> </table> <p>Changed to use Reimbursability Results field (IVE_REIMB_CD) instead of recalculating results, (v13.2, generate PR #13-0107-1640-23, proofing PR #13-0107-1711-04)</p> <p>Note: On occasion, MAXIS sends two records for the same service month with the same timestamp, but different test results. In this case, there is no way to determine which record is the latest sent and either record may be processed.</p>	Code	Description	1	Non reimbursable	2	Reimbursable	<p>Exclude the payment if the Reimbursability Results indicate the client is Non reimbursable: IVE_REIMB_CD = '1' (Proofing #1160)</p> <p>A MAXIS IV-E Reimbursability record exist indicating the client is Reimbursable on the service dates:</p> <pre>select IVE_REIMB_CD from DI_CHLD_MNTH_RMB R where R.PERSON_ID = PERSON_ID and R.CONTPLCMT_ID = CONTPLCMT_ID and to_date(R.REIMB_YR_MONTH, 'yyyymm') = trunc(PYMT_SVC_START_DT, 'month') order by TIMESTAMP_DT desc</pre> <p>Use the results from the first row returned IVE_REIMB_CD = '2'</p>	<p>D2.24</p> <p>SSIS Source</p>
Code	Description							
1	Non reimbursable							
2	Reimbursable							

Requirement	Comments	Identifier
<p>If a MAXIS IV-E reimbursability record does not exist for the service dates, assume the client is reimbursable if one of the following conditions is met:</p> <ul style="list-style-type: none"> the service dates are within first 12 months of the Continuous Placement Start Date <p>or</p> <ul style="list-style-type: none"> A MAXIS IV-E reimbursability record exists for the Continuous Placement with a Benefit Month/Year that is 12 months or less prior to the service dates <p>Note: If the service dates are in the future, the Report Period End Date is used instead of the Payment "Service Start Date"</p>	<p>If previous query did not return any results, exclude the payment if both of the following are true: (Proofing #1161, #1162)</p> <p>Determine the date to use for comparison: If PYMT_SVC_END_DT <= Report Period End Date CompareDate = PYMT_SVC_END_DT Otherwise (future dates) CompareDate = Report Period End Date</p> <ul style="list-style-type: none"> Service dates are more than one year after the Continuous Placement Start Date CompareDate > last_day(add_months(trunc(CONTPLCMT_START_DT), 12)) A reimbursability record does not exist within 12 months of the service dates: (select count(*) from DI_CHLD_MNTH_RMB R where R.PERSON_ID = PERSON_ID and R.CONTPLCMT_ID = CONTPLCMT_ID and to_date(R.REIMB_YR_MONTH, 'yyyymm') >= add_months(trunc(CompareDate, 'month'), - 12)) = 0 	<p>D2.25 Design Edit</p>

1.1.2.7 IV-E Claim Field Rules

The following sub-sections describe each field on the IV-E claim.

1.1.2.7.1 IV-E Claim

Requirement	Comments	Identifier
Include the unique IV-E Claim #		D2.26 Design Decision
	IV-E Claim # = the system generated IV-E Claim # IVE_ABSTRACT_CLAIM. IVE_ABSTRACT_CLAIM_ID	SSIS Source

1.1.2.7.2 Payment

Requirement	Comments	Identifier
Payment # is required		D2.27
	Payment # = the "Payment #" on the Payment used to create the claim PAYMENT.PAYMENT_ID	SSIS Source

1.1.2.7.3 SSIS Person

Requirement	Comments	Identifier
Include the SSIS Person #.		D2.28 Design Decision
	SSIS Person # = the "SSIS Person #" on the Payment PAYMENT.PERSON_ID	SSIS Source

1.1.2.7.4 Client Name

Note: DHS/FOD refers to this field as "Child's Name."

Requirement	Comments	Identifier
The client's full legal name is required.	SSIS requires all Person records to have a value in the "First Name" and "Last Name" fields.	D2.29
	Client Name = the client's full name (Last, First, Middle, Suffix) on the Person record for the SSIS Person # PERSON.LAST_NAME, PERSON.FIRST_NAME, PERSON.MIDDLE_NAME, PERSON.NAME_SUFFIX The Client Name is not stored on the SSIS Claim. The submission process looks up the Client Name using the SSIS Person # stored on the Claim. One field is sent to DHS/FOD for each of the fields listed above.	SSIS Source

1.1.2.7.5 PMI

Note: DHS/FOD refers to PMI # as "Child's Identification Number."

Requirement	Comments	Identifier
PMI # is required.	Exclude the payment if the client does not have a "PMI #" (Proofing #1018)	D2.30
	PMI # = the client's PMI# on the Person record PERSON.PMI	SSIS Source

1.1.2.7.6 Date of Birth

Requirement	Comments	Identifier
An actual "Date of Birth" is required.	Exclude the payment if the client's "Date of Birth" is blank (Proofing #107)	D2.31
	Date of Birth = the client's "Date of Birth" on the Person record PERSON.BIRTH_DT	SSIS Source

1.1.2.7.7 Reported Age

Note: DHS/FOD refers to this field as "Age."

Requirement	Comments	Identifier
Reported Age is the client's age on the last day of the service month, even if the "Service End Date" is before the end of the month.		D2.32
	Reported Age = the CalculatedAge on the last day of the month of service. CalculatedAge for last_day(PYMT_SVC_END_DT)	SSIS Source

1.1.2.7.8 IV-E Service Type

Note: DHS/FOD refers to IV-E Service Type as "Service Code."

Requirement		Comments			Identifier
IV-E Service Type must be one of the following:		Exclude the payment if a IV-E Service Type cannot be assigned (Proofing #1170, #1181)			D2.33
IV-E Service Type	Description	IV-E Service Type is based on the payment's "Service" and "Special Cost Code" as follows:			SSIS Source
A	Maintenance	Service	Special Cost Code	IV-E Service Type	
B	Initial Clothing	171, 180, 181, 183, 185, 188, 483	Blank	A	
C	Childcare Costs	188	16 - EFC-SIL - Additional maintenance expenses	A	
D	Rule 4 Placing Agency's Administrative Fee	483	17 - Rule 5 Room & Board Only	A	
E	Basic Maintenance	171, 180, 181, 183, 185, 188, 483	01 - Initial Clothing	B	
F	Difficulty of Care (DOC)	214	blank	C	
G	Adjustment of DOC	171, 180, 181, 183, 185, 483, 188	15 - Educational Transportation	T	
T	Educational Transportation	180 or 181	14 - Rule 4 Admin (Intake and Planning)	D	
Note: "Administrative cost for Intake & Planning" for facilities is part of the maintenance payment and a single claim is created for these payments.		180 or 181	11 - Foster Care Maintenance Only - Basic	E	
		180 or 181	12 - Difficulty of Care Amount Only	F	
		180 or 181	13 - Difficulty of Care Adjustment	G	

Requirement	Comments	Identifier								
<p>The service dates for the following IV-E Service Types must be within the service dates of a Maintenance Claim:</p> <table border="1" data-bbox="196 417 570 648"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>B</td> <td>Initial Clothing</td> </tr> <tr> <td>C</td> <td>Childcare Costs</td> </tr> <tr> <td>T</td> <td>Educational Transportation</td> </tr> </tbody> </table>	Code	Description	B	Initial Clothing	C	Childcare Costs	T	Educational Transportation	<p>Exclude the payment if the IV-E Service Type is B, C, or T and a valid Maintenance Claim does not exist. (Proofing #1171, 1175)</p> <p>Exclude the payment if both of the following are true:</p> <ul style="list-style-type: none"> The IV-E Service Type is B, C, or T IVE_SVC_TYPE_CD in ('B', 'C', 'T') A Maintenance Claim that covers the service dates does not exist: (select count(*) from IVE_ABSTRACT_CLAIM C where C.PERSON_ID = PERSON_ID and PYMT_SVC_START_DT >= C.CLM_SVC_START_DT and PYMT_SVC_END_DT <= C.CLM_SVC_END_DT and C.IVE_SVC_TYPE_CD in ('A', 'E') and NotBackedOut) = 0 	<p>D2.34</p> <p>SSIS Source</p>
Code	Description									
B	Initial Clothing									
C	Childcare Costs									
T	Educational Transportation									
<p>A Maintenance Claim for the same service dates must exist for the following IV-E Service Type:</p> <table border="1" data-bbox="196 1079 570 1243"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>D</td> <td>Rule 4 Placing Agency's Administrative Fee</td> </tr> </tbody> </table>	Code	Description	D	Rule 4 Placing Agency's Administrative Fee	<p>Exclude the payment if the IV-E Service Type is D and a valid Maintenance Claim does not exist. (Proofing #1172, #1176)</p> <p>Exclude the payment if both of the following are true:</p> <ul style="list-style-type: none"> The IV-E Service Type is D IVE_SVC_TYPE_CD = 'D' A Maintenance Claim with the same service dates does not exist: (select count(*) from IVE_ABSTRACT_CLAIM C where C.PERSON_ID = PERSON_ID and PYMT_SVC_START_DT = C.CLM_SVC_START_DT and PYMT_SVC_END_DT = C.CLM_SVC_END_DT and C.IVE_SVC_TYPE_CD in ('A', 'E') and C.RULE_CD <> ('SIL') and NotBackedOut) = 0 	<p>D2.35</p> <p>SSIS Source</p>				
Code	Description									
D	Rule 4 Placing Agency's Administrative Fee									

Requirement	Comments	Identifier				
<p>A "Basic Maintenance" claim (IV-E Service Type = "E") for the same service dates and the same vendor must exist for the following IV-E Service Type:</p>	<p>Exclude the payment if the IV-E Service Type is F and a valid "Basic Maintenance" claim does not exist for the same vendor. (Proofing #1173, #1177)</p>	D2.36				
<table border="1"> <thead> <tr> <th data-bbox="191 489 289 531">Code</th> <th data-bbox="292 489 571 531">Description</th> </tr> </thead> <tbody> <tr> <td data-bbox="191 535 289 611">F</td> <td data-bbox="292 535 571 611">Difficulty of Care (DOC)</td> </tr> </tbody> </table>	Code	Description	F	Difficulty of Care (DOC)	<p>Exclude the payment if both of the following are true:</p> <ul style="list-style-type: none"> The IV-E Service Type is F IVE_SVC_TYPE_CD = 'F' A "Basic Maintenance" with the same service dates and vendor does not exist: (select count(*) from IVE_ABSTRACT_CLAIM C where C.PERSON_ID = PERSON_ID and PYMT_SVC_START_DT = C.CLM_SVC_START_DT and PYMT_SVC_END_DT = C.CLM_SVC_END_DT and C.SVC_BUS_ORG_ID = PAYMENT.SVC_BUS_ORG_ID and C.IVE_SVC_TYPE_CD = 'E' and NotBackedOut) = 0 	SSIS Source
Code	Description					
F	Difficulty of Care (DOC)					

Requirement	Comments	Identifier				
<p>A "Maintenance" claim (IV-E Service Type = "A") or both a "Basic Maintenance" claim (IV-E Service Type = "E") and a "Difficulty of Care (DOC)" claim (IV-E Service Type "F") for the service dates and the same vendor must exist for the following IV-E Service Type:</p>	<p>Exclude the payment if the IV-E Service Type is G and a corresponding claim does not exist. (Proofing #1174, #1178)</p>	D2.37				
<table border="1"> <thead> <tr> <th data-bbox="191 615 289 663">Code</th> <th data-bbox="292 615 571 663">Description</th> </tr> </thead> <tbody> <tr> <td data-bbox="191 667 289 737">G</td> <td data-bbox="292 667 571 737">Adjustment of DOC</td> </tr> </tbody> </table>	Code	Description	G	Adjustment of DOC	<p>Exclude the payment if both of the following are true:</p> <ul style="list-style-type: none"> The IV-E Service Type is G IVE_SVC_TYPE_CD = 'G' A "Maintenance" claim or both a "Basic Maintenance" & "Difficulty of Care (DOC)" claim for the same vendor that covers the service dates does not exist: <pre> select C.IVE_SVC_TYPE_CD from IVE_ABSTRACT_CLAIM C where C.PERSON_ID = PERSON_ID and PYMT_SVC_START_DT >= C.CLM_SVC_START_DT and PYMT_SVC_END_DT <= C.CLM_SVC_END_DT and C.SVC_BUS_ORG_ID = PAYMENT.SVC_BUS_ORG_ID and C.IVE_SVC_TYPE_CD in ('A','E') and C.RULE_CD <> ('SIL') and NotBackedOut </pre> If C.IVE_SVC_TYPE_CD = 'E', a corresponding DOC claim must also exist: <pre> (select count(*) from IVE_ABSTRACT_CLAIM C where C.PERSON_ID = PERSON_ID and PYMT_SVC_START_DT >= C.CLM_SVC_START_DT and PYMT_SVC_END_DT <= C.CLM_SVC_END_DT and C.SVC_BUS_ORG_ID = PAYMENT.SVC_BUS_ORG_ID and C.IVE_SVC_TYPE_CD = 'F' and NotBackedOut) > 0 </pre> 	SSIS Source
Code	Description					
G	Adjustment of DOC					

Requirement	Comments	Identifier
<p>The service dates on an Initial Clothing claim (IV-E Service Type = "B") must be completely within the first 60 days of the Continuous Placement Start Date</p> <p>Note: The Continuous Placement Start Date = Day 1. The first date Initial Clothing is not eligible is the Start Date + 60</p>	<p>Exclude the payment if the IV-E Service Type is B and the service dates are not completely with the first 60 days of the Continuous Placement. (Proofing #1179)</p>	D2.38
	<p>Exclude the payment if both of the following are true:</p> <ul style="list-style-type: none"> • The IV-E Service Type is B IVE_SVC_TYPE_CD = 'B' • The service dates are not within the first 60 days of the Continuous Placement PYMT_SVC_END_DT > trunc(CONTPLCMT_START_DT) + 59 	SSIS Source

1.1.2.7.9 Rule Code

Requirement		Comments	Identifier																										
<p>Rule Code must be one of the following values:</p> <table border="1"> <thead> <tr> <th>Rule Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Child family foster home</td> </tr> <tr> <td>4</td> <td>Child placing agency (Rule 4)</td> </tr> <tr> <td>5</td> <td>Child residential facility - MH cert.</td> </tr> <tr> <td>8</td> <td>Child residential facility</td> </tr> <tr> <td>22</td> <td>Child transitional living program</td> </tr> <tr> <td>31</td> <td>Adult residential facility - CD cert.</td> </tr> <tr> <td>CP</td> <td>Child residential facility - Corr. cert.</td> </tr> <tr> <td>OSF</td> <td>Out-of-state child residential facility</td> </tr> <tr> <td>OSH</td> <td>Out-of-state child family foster home</td> </tr> <tr> <td>OT2</td> <td>Tribally licensed/approved family foster home</td> </tr> <tr> <td>TR</td> <td>Tribally licensed/approved child residential facility</td> </tr> <tr> <td>SIL</td> <td>EFC - unlicensed supervised independent living setting</td> </tr> </tbody> </table>		Rule Code	Description	1	Child family foster home	4	Child placing agency (Rule 4)	5	Child residential facility - MH cert.	8	Child residential facility	22	Child transitional living program	31	Adult residential facility - CD cert.	CP	Child residential facility - Corr. cert.	OSF	Out-of-state child residential facility	OSH	Out-of-state child family foster home	OT2	Tribally licensed/approved family foster home	TR	Tribally licensed/approved child residential facility	SIL	EFC - unlicensed supervised independent living setting	<p>Rule code is based on the License # and IV-E Sub Code and one of the following:</p> <ul style="list-style-type: none"> The IV-E Group Provider Rates The License record for the Bus Org The "Service" selected on the Payment The Rule Code on the corresponding Maintenance Claim <p>Exclude the payment if a Rule Code cannot be assigned.</p>	D2.39
Rule Code	Description																												
1	Child family foster home																												
4	Child placing agency (Rule 4)																												
5	Child residential facility - MH cert.																												
8	Child residential facility																												
22	Child transitional living program																												
31	Adult residential facility - CD cert.																												
CP	Child residential facility - Corr. cert.																												
OSF	Out-of-state child residential facility																												
OSH	Out-of-state child family foster home																												
OT2	Tribally licensed/approved family foster home																												
TR	Tribally licensed/approved child residential facility																												
SIL	EFC - unlicensed supervised independent living setting																												
<p>If the IV-E Service Type is one of the following, the Rule Code is the same as the corresponding Maintenance Claim</p> <table border="1"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>B</td> <td>Initial Clothing</td> </tr> <tr> <td>C</td> <td>Childcare Costs</td> </tr> <tr> <td>T</td> <td>Educational Transportation</td> </tr> </tbody> </table>		Code	Description	B	Initial Clothing	C	Childcare Costs	T	Educational Transportation	<p>If IVE_SVC_TYPE_CD in ('B','C','T')</p> <pre> select RULE_CD from IVE_ABSTRACT_CLAIM C where C.PERSON_ID = PERSON_ID and PYMT_SVC_START_DT >= C.CLM_SVC_START_DT and PYMT_SVC_END_DT <= C.CLM_SVC_END_DT and C.IVE_SVC_TYPE_CD in ('A','E') </pre>	D2.40																		
Code	Description																												
B	Initial Clothing																												
C	Childcare Costs																												
T	Educational Transportation																												
<p>If Service = '188' (Supervised independent living (18-20)), the Rule Code = 'SIL'</p>		<p>If ServiceCode = '188' RULE_CD = 'SIL'</p>	D2.41																										

Requirement	Comments	Identifier
<p>If the "License #" and "IV-E Sub Code" exists on the IV-E Group Providers table and a IV-E Provider Rate record exists for the service dates, the Rule Code is the Rule Code on the IV-E Group Provider record.</p> <p>Note: IV-E Provider Rates with either of the following conditions are ignored:</p> <ul style="list-style-type: none"> • The "Effective Date" and "Expiration Date" dates are the same • The "Approved Per Diem" and the "Approved IV-E Maintenance %" are 0 <p>Changed to use new Title IV-E Group Provider tables instead of the IV-E Rate Bulletin, (v13.2, generate PR # 13-0107-1741-37)</p>	<p>Get the Rule Code on the IV-E Group Provider Rates if the License # and IV-E Sub Code exist with a IV-E Group Rate for the service dates</p> <pre> select RULE_CD from DI_IVE_PROV_LIC PL, DI_IVE_LIC_RATE LR where PL.DI_IVE_PROV_LIC_ID = LR.DI_IVE_PROV_LIC_ID and PL.PROV_LIC_NUM = upper(trim(PAYMENT. PROV_LIC_NUM)) and nvl(PL.IVE_SUB_CD,'blank') = nvl(PAYMENT.IVE_SUB_CD, 'blank') and PYMT_SVC_START_DT >= LR.EFF_DT and PYMT_SVC_END_DT <= LR.EXP_DT and LR.EFF_DT <> LR.EXP_DT and (LR.APPR_PER_DIEM > 0 or LR.APPR_IVE_MTN_PCNT > 0) </pre>	<p>D2.42</p>

Requirement	Comments	Identifier
<p>Exclude the payment if the "License #" exists in the IV-E Group Providers table, but a Rule Code cannot be assigned. This is caused by one of the following conditions:</p> <ul style="list-style-type: none"> The "License #" and "IV-E Sub Code" exist, but a IV-E Group Rate record for the service dates does not exist (Proofing #1191) The "License #" exists, but the "IV-E Sub Code" is invalid because of one of the following: (Proofing #1192) <ul style="list-style-type: none"> The Payment's "IV-E Sub Code" is blank and the IV-E Group Provider Rate for the service dates has a "IV-E Sub Code" The Payment's "IV-E Sub Code" has a value and the IV-E Group Provider Rate for the service dates does not have a "IV-E Sub Code" The "IV-E Sub Code" on the Payment does not exist in the IV-E Group Providers table for the "License #". <p>Changed to use new Title IV-E Group Provider tables instead of the IV-E Rate Bulletin, (v13.2, proofing PR # 13-0107-1745-36)</p>	<p>Exclude the payment if all of the following conditions are met:</p> <ul style="list-style-type: none"> A Rule Code has not been assigned RULE_CD is null The License # exists on the IV-E Group Providers table exist (select * from DI_IVE_PROV_LIC where PROV_LIC_NUM = upper(trim(PAYMENT. PROV_LIC_NUM))) 	<p>D2.43 Design Edit</p>

Requirement	Comments	Identifier
<p>In all other cases:</p> <ul style="list-style-type: none"> The service dates must be within the effective dates of the license record for the Bus Org The "IV-E Facility Type" on the license record must be '001', 'OSH' or 'OT2' <ul style="list-style-type: none"> 001 - Rule 1 Family Foster Home (MN DHS) OSH - Out-of-state FFH OT2 - Tribally approved American Indian FFH The "Status" of the license must be "Granted" 	<p>Exclude the payment if a valid license does not exist. (Proofing#1193, #1194, #1195, #1196)</p> <p>If the Rule Code has not yet been assigned and the "License #" exists for the Bus Org, the Rule Code = the "IV-E Facility Type" on the effective license:</p> <pre> select FACILITY_TYPE_CD from PROV_LIC_DETAIL where BUS_ORG_ID = PAYMENT.SVC_BUS_ORG_ID and upper(PROV_LIC_NUM) = upper(trim(PAYMENT. PROV_LIC_NUM)) and PYMT_SVC_START_DT >= PROV_LIC_START_DT and PYMT_SVC_END_DT <= PROV_LIC_END_DT and LICENSE_STAT_CD = '1' and FACILITY_TYPE_CD in ('001', 'OSH', 'OT2') and rownum = 1 If FACILITY_TYPE_CD = '001' RULE_CD = '1' Otherwise RULE_CD = FACILITY_TYPE_CD </pre>	<p>D2.44</p> <p>SSIS Source</p>
<p>If the "Rule Code" is "OT2" (Tribally licensed/approved family foster home), the "Tribe" on the license record must be the name of the tribe and must be a value other than the following:</p> <ul style="list-style-type: none"> T99 Unknown tribe TUC Unknown Chippewa TUS Unknown Sioux <p>Rule added in v13.2 (generate PR #13-0118-1342-42, proofing PR #13-0118-1310-51)</p>	<p>Exclude the payment if both the following conditions are met (Proofing#1197):</p> <ul style="list-style-type: none"> Rule Code = OT2 RULE_CD = 'OT2' "Tribe" on the license record is blank or an unknown tribe PROV_LIC_DETAIL. TRIBE_CD is null or PROV_LIC_DETAIL. TRIBE_CD in ('T99', 'TUC', 'TUS') 	<p>D2.45</p>

Requirement		Comments	Identifier																																																									
<p>The Service must be valid for the Rule Code.</p> <table border="1"> <thead> <tr> <th>Service Code</th> <th>Service Description</th> </tr> </thead> <tbody> <tr> <td>171</td> <td>Child shelter</td> </tr> <tr> <td>180</td> <td>Treatment foster care</td> </tr> <tr> <td>181</td> <td>Child family foster care</td> </tr> <tr> <td>183</td> <td>Children's group residential care</td> </tr> <tr> <td>185</td> <td>Correctional facilities</td> </tr> <tr> <td>188</td> <td>Supervised independent living (18-20)</td> </tr> <tr> <td>214</td> <td>Other child care</td> </tr> <tr> <td>483</td> <td>Children's residential treatment</td> </tr> </tbody> </table>		Service Code	Service Description	171	Child shelter	180	Treatment foster care	181	Child family foster care	183	Children's group residential care	185	Correctional facilities	188	Supervised independent living (18-20)	214	Other child care	483	Children's residential treatment	<p>Exclude the payment if the Service is not valid with the Rule Code (Proofing #1230)</p> <table border="1"> <thead> <tr> <th>Rule Code</th> <th>Description</th> <th>Valid Services</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Child family foster home</td> <td>180, 181, 214</td> </tr> <tr> <td>4</td> <td>Child placing agency (Rule 4)</td> <td>180, 181</td> </tr> <tr> <td>5</td> <td>Child residential facility - MH cert.</td> <td>483</td> </tr> <tr> <td>8</td> <td>Child residential facility</td> <td>171, 183</td> </tr> <tr> <td>22</td> <td>Child transitional living program</td> <td>171, 183</td> </tr> <tr> <td>31</td> <td>Adult residential facility - CD cert.</td> <td>183, 185</td> </tr> <tr> <td>CP</td> <td>Child residential facility - Corr. cert.</td> <td>185</td> </tr> <tr> <td>OSF</td> <td>Out-of-state child residential facility</td> <td>183, 185, 483</td> </tr> <tr> <td>OSH</td> <td>Out-of-state child family foster home</td> <td>180, 181, 214</td> </tr> <tr> <td>OT2</td> <td>Tribally licensed/approved family foster home</td> <td>180, 181, 214</td> </tr> <tr> <td>TR</td> <td>Tribally licensed/approved child residential facility</td> <td>171, 183</td> </tr> <tr> <td>SIL</td> <td>EFC - unlicensed supervised independent living setting</td> <td>188</td> </tr> </tbody> </table>	Rule Code	Description	Valid Services	1	Child family foster home	180, 181, 214	4	Child placing agency (Rule 4)	180, 181	5	Child residential facility - MH cert.	483	8	Child residential facility	171, 183	22	Child transitional living program	171, 183	31	Adult residential facility - CD cert.	183, 185	CP	Child residential facility - Corr. cert.	185	OSF	Out-of-state child residential facility	183, 185, 483	OSH	Out-of-state child family foster home	180, 181, 214	OT2	Tribally licensed/approved family foster home	180, 181, 214	TR	Tribally licensed/approved child residential facility	171, 183	SIL	EFC - unlicensed supervised independent living setting	188	D2.46
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<p>If Rule Code = 4 (Child placing agency (Rule 4)), the payment's Special Cost Code must = "14 - Rule 4 Admin (Intake and Planning)."</p> <p>(Payment edits require the Service to be 180 or 181 for this Special Cost Code)</p>		<p>Exclude the payment if both of the following are true: (Proofing #1231)</p> <ul style="list-style-type: none"> • Rule Code = '4' • Special Cost Code <> 14 	D2.47																																																									

Requirement	Comments	Identifier
<p>If the Special Cost Code must = "14 - Rule 4 Admin (Intake and Planning),," the Rule Code must be 4 (Child placing agency (Rule 4)) (Payment edits require the Service to be 180 or 181 for this Special Cost Code)</p>	<p>Exclude the payment if both of the following are true: (Proofing #1232)</p> <ul style="list-style-type: none"> • Special Cost Code = 14 • Rule Code <> 4 	D2.48
<p>If Rule Code = "SIL," the client must be 18 or over on the service dates</p> <p>Note: The client must be between 18 and 20. The maximum age is covered in Section 1.1.2.7.24, "Extended Eligibility," starting on page 44.</p>	<p>Exclude the payment if both of the following are true: (Proofing #1146)</p> <ul style="list-style-type: none"> • ServiceCode = '188' • CalculatedAge for "Payment Service Start Date" < 18 	D2.49
<p>If the Rule Code = "SIL", the IV-E Service Type must be one of the following:</p> <ul style="list-style-type: none"> • A Maintenance • B Initial Clothing • T Educational Transportation 	<p>Exclude the payment if both of the following are true:</p> <ul style="list-style-type: none"> • Rule Code = 'SIL' • IV-E Service Type not in ('A','B','T') <p>Note: This edit is redundant, but is included in case another rule changes that would allow this situation to occur.</p>	D2.50
<p>Display a warning if the client is 19 or over and the IV-E Service Type = "Educational Transportation" (Proofing #1148) (Added in v13.2, 12-0625-1400-59)</p>	<p>Display a warning if both of the following are true:</p> <ul style="list-style-type: none"> • CalculatedAge for Payment Service End Date >= 19 • IV-E Service Type = 'T' 	D2.51

1.1.2.7.10 Reported License

Note: DHS/FOD refers to Reported License as "Provider Code (License & IV-E Sub Codes)."

Requirement	Comments	Identifier															
Reported License is required	Exclude the payment if the IV-E Service Type or Rule Code is not listed below and the License # on the payment does not have a value. (Proofing #1190)	D2.52															
	<ul style="list-style-type: none"> If the IV-E Service Type is one of the following, use the Reported License listed below: <table border="1"> <thead> <tr> <th>IV-E Service Type</th> <th>Reported License</th> </tr> </thead> <tbody> <tr> <td>B</td> <td>CLOTHING</td> </tr> <tr> <td>C</td> <td>CHILDCARE</td> </tr> <tr> <td>T</td> <td>TRANSPORT</td> </tr> </tbody> </table> Otherwise, if the Rule Code is one of the following, use the Reported License listed below: <table border="1"> <thead> <tr> <th>Rule Code</th> <th>Reported License</th> </tr> </thead> <tbody> <tr> <td>OT2</td> <td>TRIBAL</td> </tr> <tr> <td>OSH</td> <td>O OF S</td> </tr> <tr> <td>SIL</td> <td>SUPERVISE</td> </tr> </tbody> </table> <p>Otherwise, Reported License = the payment's "License #" concatenated with the "IV-E Sub Code." <code>upper(trim(PAYMENT.PROV_LIC_NUM) trim(PAYMENT.IVE_SUB_CD))</code></p>	IV-E Service Type	Reported License	B	CLOTHING	C	CHILDCARE	T	TRANSPORT	Rule Code	Reported License	OT2	TRIBAL	OSH	O OF S	SIL	SUPERVISE
IV-E Service Type	Reported License																
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OSH	O OF S																
SIL	SUPERVISE																

1.1.2.7.11 Rule 5 Room and Board

Requirement	Comments	Identifier
Rule 5 Room and Board = "Yes" when the payment's "Special Cost Code" = "17 - Rule 5 Room and Board." (Payment edits require the Service to be 483 for this Special Cost Code)	The value can be "Yes" or Null (no value)	D2.53
	if PAYMENT.SPECIAL_COST_ID = 17 then RULE5_RB_IND = 'Yes' otherwise RULE5_RB_IND = Null	SSIS Source

1.1.2.7.12 Provider Type

Requirement			Comments	Identifier
Provider Type must be one of the following:			Exclude the payment if a Provider Type cannot be assigned.	D2.54
Code	Description	Rule Code	If Rule Code = 1, 4, OT2, or OSH PROVIDER_TYPE_CD = 'F' If Rule Code = SIL PROVIDER_TYPE_CD = 'I' If Rule Code = 5, 8, 22, 31, CP, OSF, or TR PROVIDER_TYPE_CD = 'G'	SSIS Source
F	Family	1, 4, OT2, OSH		
G	Group	5, 8, 22, 31, CP, OSF, TR		
I	Independent	SIL		
If the Provider Type = "Group", the IV-E Service Type must be one of the following:			Exclude the payment if both of the following are true: (Proofing #1180)	D2.55
Code	Description		<ul style="list-style-type: none"> PROVIDER_TYPE_CD = 'G' IVE_SVC_TYPE_CD not in ('A', 'B', 'T') 	
A	Maintenance			
B	Initial Clothing			
T	Educational Transportation			

1.1.2.7.13 Service Vendor Name

Note: DHS/FOD refers to this field as "Foster home / Provider Name."

Requirement	Comments	Identifier
Service Vendor Name is required	The Service Vendor Name is included on the Claim sent to DHS/FOD, it is not stored on the IV-E Claim in SSIS	D2.56
	Service Vendor Name = "Service Vendor Name" on the payment PAYMENT.SVC_BUS_NAME	SSIS Source

1.1.2.7.14 SSIS Bus Org

Requirement	Comments	Identifier
Include the SSIS Bus. Org. #.		D2.57 Design Decision
	The Bus Org # of the Service Vendor on the payment: PAYMENT.SVC_BUS_ORG_ID	SSIS Source

1.1.2.7.15 Warrant / Eff Date

Note: DHS/FOD refers to Warrant / Eff Date as "Date Paid."

Requirement	Comments	Identifier
Warrant / Eff Date is required		D2.58
	Warrant / Eff Date = the "Warrant / Eff Date" on the payment PAYMENT.PYMT_DT	SSIS Source
The "Warrant / Eff Date" on the payment must be within five quarters of the Report Period End Date .	Include the payment only if the "Warrant / Eff Date" is within the past 5 quarters: PAYMENT.PYMT_DT between Report Period start date - 12 months and Report Period End Date	D2.59
EFC-SIL maintenance payments can be pre-paid up to 13 months	Exclude the payment if all of the following are true: <ul style="list-style-type: none"> The Service is "188 - Supervised independent living (18-20)" ServiceCode = '188' IV-E Service Type = A IVE_SVC_TYPE_CD = 'A' The "Service End Date" of more than 13 months after the "Warrant / Eff Date" (Proofing #1247) PYMT_SVC_END_DT > add_months(PYMT_DT,13) 	D2.60
Childcare and Educational Transportation payments can be pre-paid up to 13 months	Exclude the payment if all of the following are true: <ul style="list-style-type: none"> IV-E Service Type = C or T IVE_SVC_TYPE_CD in ('C','T') The "Service End Date" of more than 13 months after the "Warrant / Eff Date" (Proofing #1247) PYMT_SVC_END_DT > add_months(PYMT_DT,13) 	D2.61
The "Warrant / Eff Date" for Initial Clothing must be on or after the "Service End Date"	Exclude the payment if all of the following are true: <ul style="list-style-type: none"> The IV-E Service Type is B IVE_SVC_TYPE_CD = 'B' The "Warrant / Eff Date" is before the "Service End Date" (Proofing #1241) PYMT_DT < PYMT_SVC_END_DT 	D2.62

Requirement	Comments	Identifier
The "Warrant / Eff Date" for all other IV-E Service Types must be after the "Service End Date"	<p>Exclude the payment if all of the following are true: (Proofing #1240)</p> <ul style="list-style-type: none"> The payment is not for EFC-SIL maintenance not (ServiceCode = '188' and IVE_SVC_TYPE_CD = 'A') The IV-E Service Type is not B, C, or T IVE_SVC_TYPE_CD not in ('B', 'C','T') The "Warrant / Eff Date" is on or before the "Service End Date" PYMT_DT <= PYMT_SVC_END_DT 	D2.63

1.1.2.7.16 Service Dates (Service Start Date & Service End Date)

Requirement	Comments	Identifier
Service Start Date & Service End Date are required	Note: SSIS requires a Service Start Date & Service End Date on all payments.	D2.64
	Service Start Date = "Service Start Date" on the payment PAYMENT.PYMT_SVC_START_DT	SSIS Source
	Service End Date = "Service End Date" on the payment PAYMENT.PYMT_SVC_END_DT	
<p>With the exception of EFC-SIL maintenance payments: The service dates must be within the same calendar month</p>	<p>Exclude the payment if all of the following are true: (Proofing #1242)</p> <ul style="list-style-type: none"> The service dates are not within the same calendar month trunc(PYMT_SVC_START_DT, 'month') <> trunc(PYMT_SVC_END_DT, 'month') The payment is not an EFC-SIL maintenance payment not (ServiceCode = '188' and IVE_SVC_TYPE_CD = 'A') 	D2.65
<p>For EFC-SIL maintenance payments only: Create multiple claims for payments that cross months, splitting the cost and units based on the number of days of service.</p>	If Rule Code = 'SIL' and IV-E Service Type = 'A', and the service dates span more than one calendar month, create a claim for each month of service. See Section 1.1.2.8, "EFC-SIL Maintenance Claims for Payments that Cross Months," starting on page 48 for details.	D2.66 Design Decision

Requirement	Comments	Identifier										
<p>The service dates on the payment must be within eight quarters of the Report Period End Date.</p>	<p>Include the payment only if the service dates are within the past 8 quarters: (Proofing #1202) PYMT_SVC_START_DT >= Report Service Start Date</p>	D2.67										
<p>With the exception of EFC-SIL Payments:</p> <p>The service dates on claims for the following IV-E Service Types cannot overlap the service dates of another claim with the same IV-E Service Type:</p> <table border="1" data-bbox="191 709 620 1056"> <thead> <tr> <th data-bbox="191 709 289 756">Code</th> <th data-bbox="289 709 620 756">Description</th> </tr> </thead> <tbody> <tr> <td data-bbox="191 756 289 808">A</td> <td data-bbox="289 756 620 808">Maintenance</td> </tr> <tr> <td data-bbox="191 808 289 919">D</td> <td data-bbox="289 808 620 919">Rule 4 Placing Agency's Administrative Fee</td> </tr> <tr> <td data-bbox="191 919 289 972">E</td> <td data-bbox="289 919 620 972">Basic Maintenance</td> </tr> <tr> <td data-bbox="191 972 289 1056">F</td> <td data-bbox="289 972 620 1056">Difficulty of Care (DOC)</td> </tr> </tbody> </table>	Code	Description	A	Maintenance	D	Rule 4 Placing Agency's Administrative Fee	E	Basic Maintenance	F	Difficulty of Care (DOC)	<p>Exclude the payment if a claim exists with the same IV-E Service Type and overlapping service dates. (Proofing #1243)</p> <p>If IVE_SVC_TYPE_CD in ('A','D','E','F') and ServiceCode <> '188'</p> <pre> select count=(*) from IVE_ABSTRACT_CLAIM C where C.PERSON_ID = PERSON_ID and (PYMT_SVC_START_DT between C.CLM_SVC_START_DT and C.CLM_SVC_END_DT or C.CLM_SVC_START_DT between PYMT_SVC_START_DT and PYMT_SVC_END_DT) and (C.IVE_SVC_TYPE_CD = IVE_SVC_TYPE_CD or (C.IVE_SVC_TYPE_CD = 'A' and IVE_SVC_TYPE_CD = 'E') or (C.IVE_SVC_TYPE_CD = 'E' and IVE_SVC_TYPE_CD = 'A')) and CLM_AMT > 0 and NotBackedOut </pre> <p>Exclude the payment if the count is > 0</p>	D2.68
Code	Description											
A	Maintenance											
D	Rule 4 Placing Agency's Administrative Fee											
E	Basic Maintenance											
F	Difficulty of Care (DOC)											

Requirement	Comments	Identifier
<p>For EFC-SIL Payments only: The service dates for IV-E Service Type "A" (Maintenance) cannot overlap the service dates of another Maintenance Claim for another Provider Type</p>	<p>Exclude an EFC-SIL Payment if a Maintenance Claim for another Provider Type exists with overlapping service dates. (Proofing #1244)</p> <hr/> <p>If ServiceCode <> '188' and IVE_SVC_TYPE_CD = 'A' select count(*) from IVE_ABSTRACT_CLAIM C where C.PERSON_ID = PERSON_ID and (PYMT_SVC_START_DT between C.CLM_SVC_START_DT and C.CLM_SVC_END_DT or C.CLM_SVC_START_DT between PYMT_SVC_START_DT and PYMT_SVC_END_DT) and C.IVE_SVC_TYPE_CD in ('A', 'E') and RULE_CD <> 'SIL' and CLM_AMT > 0 and NotBackedOut</p> <p>Exclude the payment if the count is > 0</p>	D2.69
<p>The Continuous Placement "Discharge Date" cannot be claimed.</p>	<p>Exclude the payment if the "Service End Date" = the Continuous Placement "Discharge Date." (Proofing #1245) PYMT_SVC_END_DT = trunc(CONTPLCMT_END_DT)</p>	D2.70 Design Decision

Requirement	Comments	Identifier																				
<p>The number of units must correspond to the number of days of service.</p> <p>Except EFC-SIL Payments, this edit applies to the following IV-E Service Types:</p> <table border="1" data-bbox="207 457 607 852"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>A</td> <td>Maintenance</td> </tr> <tr> <td>D</td> <td>Rule 4 Placing Agency's Administrative Fee</td> </tr> <tr> <td>E</td> <td>Basic Maintenance</td> </tr> <tr> <td>F</td> <td>Difficulty of Care (DOC)</td> </tr> <tr> <td>G</td> <td>Adjustment of DOC</td> </tr> </tbody> </table>	Code	Description	A	Maintenance	D	Rule 4 Placing Agency's Administrative Fee	E	Basic Maintenance	F	Difficulty of Care (DOC)	G	Adjustment of DOC	<p>Exclude the payment if the Units are not equal to the number of days of service. (Proofing #1246)</p> <p>ServiceCode <> '188' and IVE_SVC_TYPE_CD in ('A', 'D', 'E', 'F', 'G') and PYMT_SVC_END_DT - PYMT_SVC_START_DT + 1 <> PYMT_UNITS</p>	<p>D2.71 Design Decision</p>								
Code	Description																					
A	Maintenance																					
D	Rule 4 Placing Agency's Administrative Fee																					
E	Basic Maintenance																					
F	Difficulty of Care (DOC)																					
G	Adjustment of DOC																					
<p>The Unit Type must be one of the following:</p> <table border="1" data-bbox="207 942 607 1173"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>08</td> <td>Day</td> </tr> <tr> <td>07</td> <td>Daily rate, live-in</td> </tr> <tr> <td>31</td> <td>Daily rate, cert. facility</td> </tr> </tbody> </table> <p>Except EFC-SIL Payments, this edit applies to the following IV-E Service Types:</p> <table border="1" data-bbox="207 1287 607 1682"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>A</td> <td>Maintenance</td> </tr> <tr> <td>D</td> <td>Rule 4 Placing Agency's Administrative Fee</td> </tr> <tr> <td>E</td> <td>Basic Maintenance</td> </tr> <tr> <td>F</td> <td>Difficulty of Care (DOC)</td> </tr> <tr> <td>G</td> <td>Adjustment of DOC</td> </tr> </tbody> </table>	Code	Description	08	Day	07	Daily rate, live-in	31	Daily rate, cert. facility	Code	Description	A	Maintenance	D	Rule 4 Placing Agency's Administrative Fee	E	Basic Maintenance	F	Difficulty of Care (DOC)	G	Adjustment of DOC	<p>Exclude the payment if the Unit Type is not valid. (Proofing #1205)</p> <p>ServiceCode <> '188' and IVE_SVC_TYPE_CD in ('A', 'D', 'E', 'F', 'G') and UNIT_TYPE_CD not in ('07', '08', '31')</p>	<p>D2.72 Design Edit</p>
Code	Description																					
08	Day																					
07	Daily rate, live-in																					
31	Daily rate, cert. facility																					
Code	Description																					
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G	Adjustment of DOC																					

1.1.2.7.17 Claim Amount

Note: DHS/FOD refers to Claim Amount as "Amount Paid."

Requirement	Comments	Identifier
Claim Amount is the "Amount" on the payment, rounded to the nearest dollar.		D2.73
	Claim Amount = round(PAYMENT.PAID_AMT)	SSIS Source
Create a claim for an Original Payment only if the Net Amount is greater than 0 If the Net Amount = 0, neither the Original Payment nor the Negative Payment Modification is claimed.	Exclude an Original Payment if the Net Amount is =0 Note: Only payment modifications with a "Warrant/Eff Date" on or before the Report Period End Date are included in this calculation	D2.74
Display a warning if the per diem does not match the approved per diem and the Rule Code is not 'SIL'. (Proofing #1204)	If the Rule Code is '1', 'OSH' or 'OT2' Display a warning if "Basic Per Diem" does not match the annual foster care rate for the CalculatedAge Otherwise, if the Rule Code <> 'SIL' Display a warning if the "Rate" does not match the "per diem" from the IV-E Group Provider Rates tables.	D2.75
Display a warning if the Rule Code is SIL, the payment is for one day, and the rate is more than the approved per diem. (Proofing #1207) (Added in v13.2, 13-0111-1504-45)	Display a warning if all of the following are true: <ul style="list-style-type: none"> • Rule Code = 'SIL' • IV-E Service Type = 'A' • PYMT_SVC_START_DT = PYMT_SVC_END_DT • PYMT_PAID_AMT > (Approved Per Diem + DOC Rate for the Assessed DOC Points) 	D2.76

1.1.2.7.18 DOC Points

Requirement	Comments	Identifier
<p>Include DOC Points on the claim if:</p> <ul style="list-style-type: none"> The Rule Code is: <ul style="list-style-type: none"> 'SIL' (EFC - unlicensed supervised independent living setting) and the IV-E Service Type is <ul style="list-style-type: none"> A Maintenance Or the Rule Code is one of the following: <ul style="list-style-type: none"> 1 (Child family foster home) OT2 (Tribally licensed/approved family foster home) OSH (Out-of-state child family foster home) and the IV-E Service Type is one of the following: <ul style="list-style-type: none"> A Maintenance F Difficulty of Care (DOC) G Adjustment of DOC <p>Ignore all DOC Points edits if the Rule Code or IV-E Service Type is not listed above.</p>	<p>If Rule Code = '1', 'OT2', 'OSH' and IV-E Service Type = 'A', 'F', or 'G' DOC Points = "DOC points" on the payment CLM_DOC_PTS = PAYMENT.PYMT_DOC_PTS</p> <p>If the Rule Code = 'SIL' and IV-E Service Type = 'A' and a DOC Assessment record exists for the service dates (select nvl(DA.DOC_POINTS,225) from DOC_ASSESS DA where DA.PERSON_ID = PERSON_ID and DA.DOC_EFF_DT <= PYMT_SVC_START_DT and DA.DOC_EFF_DT = (select max(DA2.DOC_EFF_DT) from DOC_ASSESS DA2 where DA2.PERSON_ID = DA.PERSON_ID and DA2.DOC_EFF_DT <= PYMT_SVC_START_DT) and DA.DOC_ASSESS_DT = (select max(DA3.DOC_ASSESS_DT) from DOC_ASSESS DA3 where DA3.PERSON_ID = DA.PERSON_ID and DA3.DOC_EFF_DT = DA.DOC_EFF_DT) order by DOC_ASSESS_DT desc)</p> <p>CLM_DOC_PTS = nvl(DA.DOC_POINTS,225) on first record returned</p> <p>If a DOC Assessment does not exist for the service dates, DOC Points = 0 CLM_DOC_PTS = 0</p> <p>Otherwise CLM_DOC_PTS = null</p>	<p>D2.77</p> <p>SSIS Source</p>

Requirement	Comments	Identifier
<p>A DOC Assessment must exist for the service dates.</p>	<p>If Rule Code = '1', 'OT2', 'OSH' and IV-E Service Type = 'A', 'F', or 'G'</p> <ul style="list-style-type: none"> Exclude the payment if "DOC Points" has a value > 0 and a DOC Assessment does not exist for the service dates (Proofing #1130) Display a warning message if the payment's "DOC Points" is less than the DOC Assessment. (Proofing #1132) Display a warning message if the payment's "DOC Points" is 0 and a DOC Assessment does not exist for the service dates. (Proofing #1133) 	D2.78
<p>DOC Points must be less than or equal to the value on the DOC Assessment record for the service dates.</p> <p>The DOC Assessment for the service dates is the record with the most recent "Effective Date" prior to the service dates.</p>	<p>If Rule Code = '1', 'OT2', 'OSH' and IV-E Service Type = 'A', 'F', or 'G'</p> <ul style="list-style-type: none"> Exclude the payment if the "DOC Points" on the payment is more than the DOC Assessment (Proofing #1131) 	D2.79

Requirement	Comments	Identifier
<p>If multiple DOC Assessment have the same "Effective Date," select the record with the most recent Assessment Date</p>	<p>If (Rule Code = '1', 'OT2', 'OSH' and IV-E Service Type = 'A', 'F', or 'G') or (Rule Code = 'SIL' and IV-E Service Type = 'A')</p> <ul style="list-style-type: none"> Exclude the payment if multiple DOC Assessment records have the same Assessment Date and Effective Date (Proofing #1134) 	D2.80
	<p>If the Payment "DOC Points" is > 0 or (the Rule Code = 'SIL' and the IV-E Service Type = 'A')</p> <p>Select the DOC Assessment(s):</p> <pre> select nvl(DA.DOC_POINTS,225) from DOC_ASSESS DA where DA.PERSON_ID = PERSON_ID and DA.DOC_EFF_DT <= PYMT_SVC_START_DT and DA.DOC_EFF_DT = (select max(DA2.DOC_EFF_DT) from DOC_ASSESS DA2 where DA2.PERSON_ID = DA.PERSON_ID and DA2.DOC_EFF_DT <= PYMT_SVC_START_DT) and DA.DOC_ASSESS_DT = (select max(DA3.DOC_ASSESS_DT) from DOC_ASSESS DA3 where DA3.PERSON_ID = DA.PERSON_ID and DA3.DOC_EFF_DT <= DA.DOC_EFF_DT) </pre>	SSIS Source

Requirement	Comments	Identifier
<p>If the IV-E Service Type = G (Adjustment of DOC), a DOC Assessment must exist for the total DOC Points paid on the Adjustment of DOC payment plus any previously claimed "Maintenance," "Difficulty of Care (DOC)," or "Adjustment of DOC" claims for the service dates.</p>	<p>IF IV-E Service Type = 'G'</p> <ul style="list-style-type: none"> Calculate the Total DOC Points by adding DOC Points on existing claims with the DOC Points on the payment Total DOC Points Paid = PAYMENT.PYMT_DOC_PTS + Sum (select CLM_DOC_PTS from IVE_ABSTRACT_CLM C where PERSON_ID = C.PERSON_ID and (PYMT_SVC_START_DT between C.CLM_SVC_START_DT and C.CLM_SVC_END_DT or PYMT_SVC_END_DT between C.CLM_SVC_START_DT and C.CLM_SVC_END_DT) and C.SVC_BUS_ORG_ID = PAYMENT.SVC_BUS_ORG_ID and C.IVE_SVC_TYPE_CD in ('A', 'F', 'G') and CLM_AMT > 0 and NotBackedOut) Exclude the payment if the Total "DOC Points" paid is more than the DOC Assessment (Proofing #1131) Total DOC Points Paid > DOC_ASSESS.DOC_POINTS 	<p>D2.81</p>

1.1.2.7.19 Cont Placement

Requirement	Comments	Identifier
Cont Placement # is required	Exclude the payment if a Continuous Placement does not exist for the service dates . (Proofing #1120, #1121)	D2.82 Design Decision
	Cont Placement # = the "Cont Placement #" on the Continuous Placement in effect on the service dates <pre>(select CONTPLCMT_ID, trunc(CONTPLCMT_START_DT) from CONTPLCMT C where C.PERSON_ID = PERSON_ID and PYMT_SVC_START_DT >= trunc(CONTPLCMT_START_DT) and PYMT_SVC_END_DT <= nvl(trunc(CONTPLCMT_END_DT), SYSDATE + 365) order by CONTPLCMT_START_DT desc)</pre> Cont Placement # =CONTPLCMT_ID on the first record returned	SSIS Source
Only one Continuous Placement may exist during the service dates	Exclude the payment if another Continuous Placement exists anytime during the service dates . (Proofing #1122) <pre>not exist (select * from CONTPLCMT C2 where C2.CONTPLCMT_ID <> C.CONTPLCMT_ID and C2.PERSON_ID = C.PERSON_ID and (PYMT_SVC_START_DT between trunc(C2.CONTPLCMT_START_DT) and nvl(trunc(C2.CONTPLCMT_END_DT) - 1, SYSDATE + 365) or trunc(C2.CONTPLCMT_START_DT) +1 between PYMT_SVC_START_DT and PYMT_SVC_END_DT))</pre>	D2.83 Design Edit

Requirement	Comments	Identifier
<p>The Supervising Agency on the Continuous Placement must meet one of the following criteria:</p> <ul style="list-style-type: none"> The local agency is a county and the Supervising Agency is "County social services" Local agency is a tribe and the Supervising Agency = "Tribal social services" and the "Tribe" on the Continuous Placement is the local agency The local agency is a county and the Supervising Agency is "Tribal social services" and one of the following is true: <ul style="list-style-type: none"> a Title IV-E Maintenance Agreement with the "Tribe" on the Continuous Placement exists for service dates on or after the Supervising Agency "Effective Date" the service dates are prior to the Supervising Agency "Effective Date" 	<p>Exclude the payment if the Continuous Placement does not meet one of the following conditions: (Proofing #1123, #1124, #1125)</p> <ul style="list-style-type: none"> Local agency is the county SYSTEM_CONTROL.CNTY_CD not in ('A4','B2') Supervising Agency = "County social services" CONTPLCMT.SUP_AGCY_CD = '1' Local agency is "Leech Lake Band of Ojibwe" SYSTEM_CONTROL.CNTY_CD = 'A4' Supervising Agency = "Tribal social services" CONTPLCMT.SUP_AGCY_CD = '3' "Tribe" on the Continuous Placement is the local agency CONTPLCMT.SUP_TRIBE_CD = 'TMD' Local agency is "White Earth Band of Ojibwe" SYSTEM_CONTROL.CNTY_CD = 'B2' Supervising Agency = "Tribal social services" CONTPLCMT.SUP_AGCY_CD = '3' "Tribe" on the Continuous Placement is the local agency CONTPLCMT.SUP_TRIBE_CD = 'TMG' Local agency is the county SYSTEM_CONTROL.CNTY_CD not in ('A4','B2') Supervising Agency = "Tribal social services" CONTPLCMT.SUP_AGCY_CD='3' And one of the following is true: <ul style="list-style-type: none"> select count(*) from IVE_MNT_AGR where MNT_AGR_WITH_CD = '2' and SUP_TRIBE_CD = CONTPLCMT.SUP_TRIBE_CD and greatest(PYMT_SVC_START_DT, CONTPLCMT.SUP_AGCY_EFF_DT) >= trunc(MNT_AGR_EFF_DT) and PYMT_SVC_END_DT <= nvl(trunc(MNT_AGR_END_DT), PYMT_SVC_END_DT)) > 0 PYMT_SVC_END_DT < CONTPLCMT.SUP_AGCY_EFF_DT 	D2.84

Requirement	Comments	Identifier
<p>The Supervising Agency on the Continuous Placement must meet one of the following criteria: (Continued)</p> <ul style="list-style-type: none"> The local agency is a county and the Supervising Agency is "Corrections" and one of the following is true: <ul style="list-style-type: none"> a Title IV-E Maintenance Agreement with Corrections exists for service dates on or after the Supervising Agency "Effective Date" or A Title IV-E Corrections Umbrella record exists for service dates on or after the Supervising Agency "Effective Date" the service dates are prior to the Supervising Agency "Effective Date" 	<ul style="list-style-type: none"> Local agency is the county SYSTEM_CONTROL.CNTY_CD not in ('A4','B2') Supervising Agency = "Corrections" CONTPLCMT.SUP_AGCY_CD='2' And one of the following is true: <ul style="list-style-type: none"> select count(*) from IVE_MNT_AGR where MNT_AGR_WITH_CD = '1' and greatest(PYMT_SVC_START_DT, CONTPLCMT.SUP_AGCY_EFF_DT) >= trunc(MNT_AGR_EFF_DT) and PYMT_SVC_END_DT <= nvl(trunc(MNT_AGR_END_DT), PYMT_SVC_END_DT) > 0 select count(*) from IVE_UMB_CNTY where greatest(PYMT_SVC_START_DT, CONTPLCMT.SUP_AGCY_EFF_DT) >= trunc(CORR_UMB_CNTY_EFF_DT) and PYMT_SVC_END_DT <= nvl(trunc(CORR_UMB_CNTY_END_DT), PYMT_SVC_END_DT) > 0 PYMT_SVC_END_DT < CONTPLCMT.SUP_AGCY_EFF_DT 	D2.84 (continued)

1.1.2.7.20 Continuous Placement Start Date

Requirement	Comments	Identifier
Continuous Placement Start Date is required.		D2.85
	Continuous Placement Start Date = the "Start Date" of the Continuous Placement in effect on the service dates trunc(CONTPLCMT_START_DT) for the selected CONTPLCMT_ID	SSIS Source

1.1.2.7.21 Initial Placement Authority

Note: DHS/FOD refers to Initial Placement Authority as "Placement Type."

Requirement	Comments	Identifier						
<p>The Initial Placement Authority is the first Placement Authority for the Continuous Placement (after a "Protective hold" if one exists), and must be one of the following:</p> <table border="1"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Voluntary</td> </tr> <tr> <td>2</td> <td>Court Ordered</td> </tr> </tbody> </table>	Code	Description	1	Voluntary	2	Court Ordered	<ul style="list-style-type: none"> Exclude the payment if a "Voluntary" or "Court Ordered" Placement Authority does not exist with an "Effective Date" that is on or before the service dates. (Proofing #1220, #1221) Display a warning message if the "Effective Date" of the Placement Authority are more than one month before the Continuous Placement "Start Date" (Proofing #1222) 	D2.86
Code	Description							
1	Voluntary							
2	Court Ordered							
<p>If both a "Court Ordered" and a "Voluntary" Placement Authority exist with the same effective date, the Court Ordered authority takes precedence</p>	<p>Initial Placement Authority = the first Placement Authority (excluding "Protective hold") for the Continuous Placement</p> <pre> select PLACE_AUTH_CD, trunc(PLACE_AUTH_EFF_DT) from CONTPLCMT_AUTH A where A.CONTPLCMT_ID = CONTPLCMT_ID and PLACE_AUTH_CD in ('1', '2') and trunc(PLACE_AUTH_EFF_DT) <= PYMT_SVC_START_DT order by trunc(PLACE_AUTH_EFF_DT), PLACE_AUTH_CD desc </pre> <p>Use the results from the first row returned</p>	SSIS Source						

1.1.2.7.22 VPA Signed Date

Requirement	Comments	Identifier
VPA (Voluntary Placement Agreement) Signed Date is required if the initial Placement Authority is "Voluntary."	Note: SSIS requires all Placement Authority records to have an "Effective Date."	D2.87
	<p>If the Initial Placement Authority = Voluntary, the VPA Signed Date = the "Effective Date" of the Initial Placement Authority</p> <pre> if PLACE_AUTH_CD = '1' VOL_PLCMT_AGRMT_DT = A.PLACE_AUTH_EFF_DT Otherwise, VOL_PLCMT_AGRMT_DT = null </pre>	SSIS Source

1.1.2.7.23 Initial Best Interest Date

Note: DHS/FOD refers to this field as "Best Interest Date."

Requirement	Comments	Identifier
<p>A Best Interest Date is required when either of the following is true:</p> <ul style="list-style-type: none"> The initial Placement Authority = "Court Ordered" The initial Placement Authority = "Voluntary" and the service dates are after the BestIntReqdDate <p>If not required, include the Initial Best Interest Date if one exists.</p>	<ul style="list-style-type: none"> Exclude the payment if the Initial Placement Authority= "Court Ordered" and a Best Interest Statement does not exist: (Proofing #1100) <ul style="list-style-type: none"> PLACE_AUTH_CD = '2' BEST_INTEREST_DT does not exist 	D2.88
	<ul style="list-style-type: none"> Exclude the payment if the initial Placement Authority = "Voluntary," the service dates are after the BestIntReqdDate, and a Best Interest Statement does not exist or is dated after the required date: (Proofing #1102, #1103) <ul style="list-style-type: none"> PLACE_AUTH_CD = '1' PYMT_SVC_END_DT > BestIntReqdDate BEST_INTEREST_DT does not exist or BEST_INTEREST_DT > BestIntReqdDate 	D2.89
	<p>Initial Best Interest Date = the earliest Best Interest "Date" on the Child Findings tab of the Court Screen where the "Best Interest Statement" = Yes</p> <pre>select min(trunc(BEST_INTEREST_DT) from COURT_DETAIL CD where BEST_INTEREST_IND = 'Y' and BEST_INTEREST_DT is not null and CD.CONTPLCMT_ID = CONTPLCMT_ID</pre>	SSIS Source
<p>If the Initial Placement Authority = "Court Ordered," the "Effective Date" must be on or after the "Initial Best Interest Date"</p> <p>Note: For a child who entered foster care prior to 3/27/2000, the Best Interest Statement was not mandatory in the first court action.</p>	<p>Exclude the payment if both of the following are true: (Proofing #1101)</p> <ul style="list-style-type: none"> Initial Placement Authority = Court Ordered PLACE_AUTH_CD = '2' "Effective Date" < Initial Best Interest Date trunc(PLACE_AUTH_EFF_DT) < BEST_INTEREST_DT "Continuous Placement Start Date" is after 03/27/2000 (Added in v12.2, PR #12-0307-1652-08) trunc(CONTPLCMT_START_DT) > to_date('03/27/2000', 'mm/dd/yyyy') 	D2.90

1.1.2.7.24 Extended Eligibility

Note: For **service dates** prior to 10/01/10, Extended Eligibility contains the information previously referred to as "Student Status."

Requirement	Comments	Identifier												
Service dates on or after 10/01/10														
<p>Extended Eligibility = Yes if a Foster Care Extension condition exists for the service dates with one of the following conditions:</p> <table border="1" data-bbox="203 604 625 1108"> <thead> <tr> <th data-bbox="203 604 292 651">Code</th> <th data-bbox="292 604 625 651">Description</th> </tr> </thead> <tbody> <tr> <td data-bbox="203 651 292 724">02</td> <td data-bbox="292 651 625 724">Completing high school or GED</td> </tr> <tr> <td data-bbox="203 724 292 829">03</td> <td data-bbox="292 724 625 829">Documented medical condition prevents education/work</td> </tr> <tr> <td data-bbox="203 829 292 898">04</td> <td data-bbox="292 829 625 898">Employed at least 80 hrs per month</td> </tr> <tr> <td data-bbox="203 898 292 1003">05</td> <td data-bbox="292 898 625 1003">Enrolled in post secondary or vocational education</td> </tr> <tr> <td data-bbox="203 1003 292 1108">06</td> <td data-bbox="292 1003 625 1108">Participating in activities to remove employment barriers</td> </tr> </tbody> </table> <ul data-bbox="203 1123 625 1743" style="list-style-type: none"> Extended Eligibility must be "Yes" starting on the later of the client's 18th birthday or the Continuous Placement Start Date through the service dates If the client turns 18 during the service month, Extended Eligibility must = 'Yes' on or before the client's 18th birthday If a Foster Care Extension condition as listed above does not exist with an effective date on or before the client's 18th birthday, the day before the client's 18th birthday is the last day of eligibility 	Code	Description	02	Completing high school or GED	03	Documented medical condition prevents education/work	04	Employed at least 80 hrs per month	05	Enrolled in post secondary or vocational education	06	Participating in activities to remove employment barriers	<ul data-bbox="657 432 1242 640" style="list-style-type: none"> Extended Eligibility is valued if the CalculatedAge for Payment Service End Date >= 18 Extended Eligibility is blank if the CalculatedAge for Payment Service End Date < 18. <p data-bbox="657 682 1242 724">If valued, Extended Eligibility = Yes or No</p> <ul data-bbox="657 724 1242 829" style="list-style-type: none"> Exclude payments with Extended Eligibility = "No" (Proofing #1140, #1141, #1143) <p data-bbox="657 840 1242 945">If Service dates >= 10/01/10 and CalculatedAge for Payment Service End Date >= 18</p> <ul data-bbox="706 955 1242 1186" style="list-style-type: none"> Extended Eligibility = 'Yes' if the FCExtensionReqdDate through the Payment "Service End Date" are covered by a Foster Care Extension Condition as described on the next page Otherwise Extended Eligibility = No 	<p data-bbox="1274 432 1412 472">D2.91</p> <p data-bbox="1274 840 1412 903">SSIS Source</p>
Code	Description													
02	Completing high school or GED													
03	Documented medical condition prevents education/work													
04	Employed at least 80 hrs per month													
05	Enrolled in post secondary or vocational education													
06	Participating in activities to remove employment barriers													

Requirement	Comments	Identifier
Service dates on or after 10/01/10		
	<p>Select the Foster Care Extension records:</p> <pre>select * from FC_EXTENSION FC where FC.CONTPLCMT_ID = CONTPLCMT_ID and FC_EXTENSION_CD <> '01' and FC_EXT_EFF_DT <= PYMT_SVC_END_DT order by FC_EXT_EFF_DT, FC_EXT_END_DT</pre> <ul style="list-style-type: none"> Loop through the records using the FCExtensionReqdDate as the StartDate: <ul style="list-style-type: none"> If the StartDate and "Service End Date" are within the dates of the Foster care Extension record, the service dates are covered <p>If StartDate between FC_EXT_EFF_DT and nvl(FC_EXT_END_DT, PYMT_SVC_END_DT)</p> <p>If PYMT_SVC_END_DT between FC_EXT_EFF_DT and nvl(FC_EXT_END_DT, PYMT_SVC_END_DT)</p> <p>the service dates are covered</p> else change StartDate to FC_EXT_END_DT plus 1 day and continue checking remaining service dates 	D2.92 SSIS Source
<p>For service dates on or after 10/01/10:</p> <ul style="list-style-type: none"> The day before the client's 21st birthday is the last day of eligibility/reimbursability 	<p>Exclude the payment if both of the following are true: (Proofing #1110)</p> <ul style="list-style-type: none"> PYMT_SVC_START_DT >= '10/01/10' PYMT_SVC_END_DT >= PERSON.BIRTH_DT + 21*12 	D2.93

Requirement	Comments	Identifier
Service dates on or after 10/01/10		
<p>A Foster Care Extension Review must be completed every 6 months.</p> <p>Note: If the service dates are in the future, the Report Period End Date is used instead of the Payment "Service Start Date" to determine when the Extension Review is due.</p>	<p>Display a warning message if the service dates are more than 6 months after the client's 18th birthday and a Foster Care Extension Review has not been completed within the past 6 months (Proofing #1147) (Added in v13.2, PR #13-0111-1551-58)</p> <p>Determine the date to use for comparison: If PYMT_SVC_START_DT <= Report Period End Date CompareDate = PYMT_SVC_START_DT Otherwise (future dates) CompareDate = Report Period End Date</p> <p>If the CompareDate is more than 6 months after the client's 18th birthday or the Continuous Placement "Start Date" if the client re-entered foster care after their 18th birthday CompareDate > add_months(FCExtensionReqdDate, 6)</p> <p>Display a warning if an Extension Review does not exist within the 6 months prior to the CompareDate (select count(*) from FC_EXT_REVIEW FCE where FCE.CONTPLCMT_ID = CONTPLCMT_ID and FCE.FC_EXT_REVIEW_DT between add_months(CompareDate,-6) and CompareDate) = 0</p>	<p>D2.94 Design Edit</p>

Service dates prior to 10/01/10		
<p>Based on the MAXIS Eligibility record, Extended Eligibility = Yes if:</p> <ul style="list-style-type: none"> • "Student Status" = "F" (Full time) • The client's age on the first of the Graduation Year/Month = 18 <p>or</p> <p>Client's 19th birthday = the first of the Graduation Year/Month</p> <ul style="list-style-type: none"> • Service dates are on or before the end of the Graduation Year/Month 	<ul style="list-style-type: none"> • Extended Eligibility is valued if the CalculatedAge for first of the service month ≥ 18 • Extended Eligibility is blank if the CalculatedAge for first of the service month < 18. <p>If valued, Extended Eligibility = Yes or No Exclude payments with Extended Eligibility = "No"</p>	D2.95
	<p>If Service dates $< 10/01/10$ and CalculatedAge for first of the service month ≥ 18</p> <ul style="list-style-type: none"> • Extended Eligibility = 'Yes' if all of the following are true: <ul style="list-style-type: none"> • Client turns 19 on or after the first of the Graduation Year/Month PERSON.BIRTH_DT + 19*12 \geq to_date(GRAD_YR_MONTH, 'yyyymm') (Proofing #1110) • DI_CHILD_ELIG. STUDENT_STAT_CD = 'F' • PYMT_SVC_END_DT \leq last_date(to_date, (GRAD_YR_MONTH, 'yyyymm')) • Otherwise Extended Eligibility = No 	SSIS Source

1.1.2.8 EFC-SIL Maintenance Claims for Payments that Cross Months

EFC-SIL Maintenance Payments can cross calendar months. These payments need to be split into multiple claims. This section contains rules specifically for these payments.

Requirement	Comments	Identifier
Create one claim for each calendar month included on the payment.	The "Service Start Date" on the first claim and the "Service End Date" on the last claim must match the payment.	D2.96
If the payment is an Original Payment , split the payment only if the "Amount" is at least \$12	This ensures the "Amount" of split claims is at least \$1.	D2.97
If the payment is a Negative Payment Modification , split the payment only if the "Amount" is at least-\$12 or the Original Payment was split.		D2.98
Calculate the "Amount" for each claim based on the number of days of service. Note: Because amounts are rounded and it is unlikely for EFC-SIL Payments that cross months to be for very small amounts, if the "Amount" is less than \$12, the entire "Amount" is claimed in the first month of service. If a Negative Payment Modification for an EFC-SIL Payment is less than \$12, the entire "Amount" is claimed in the first month of service. If the "Amount" exceeds the original "Claim Amount," the amount in excess of the original is claimed in subsequent months until the negative amount is fully claimed.	Total Days of Service = $\text{PYMT_SVC_END_DT} - \text{PYMT_SVC_START_DT} + 1$ Daily Amount = $\text{round}(\text{PYMT_PAID_AMT}) / \text{Total Days of Service}$ Claim Amount = $\text{Daily Amount} * (\text{CLM_SVC_END_DT} - \text{CLM_SVC_START_DT} + 1)$ Adjust the claim that includes the "Service End Date" for any rounding.	D2.99
DOC Points	Calculate the DOC Points for the service dates on each claim. Exclude entire payment if any of the edits fail.	D2.100
IV-E Reimbursability	Apply IV-E Reimbursability edits for the service dates on each claim. Exclude entire payment if any of the edits fail.	D2.101

1.1.2.9 Negative IV-E Claims

Create negative claims for payments that meet the following criteria: (Proofing #1203, #1206)

Requirement	Comments	Identifier												
<p>The Payment Type is a Negative Payment Modification</p> <table border="1"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>4</td> <td>Adjustment Reversal</td> </tr> <tr> <td>5</td> <td>Refund</td> </tr> <tr> <td>6</td> <td>Recovery</td> </tr> <tr> <td>7</td> <td>Cancellation (Refund)</td> </tr> <tr> <td>8</td> <td>Cancellation (Recovery)</td> </tr> </tbody> </table>	Code	Description	4	Adjustment Reversal	5	Refund	6	Recovery	7	Cancellation (Refund)	8	Cancellation (Recovery)	PYMT_TYPE_CD in ('4', '5', '6','7','8')	D2.110
Code	Description													
4	Adjustment Reversal													
5	Refund													
6	Recovery													
7	Cancellation (Refund)													
8	Cancellation (Recovery)													
"Warrant / Eff Date" is between the Report Payment Dates	PYMT_DT between Report Payment Start Date and Report Period End Date	D2.111												
"Service Start Date" is >= Report Service Start Date	PYMT_SVC_START_DT >= Report Service Start Date	D2.112												
"IV-E Reimbursable" = Yes	IVE_REIMB_IND = 'Y'	D2.113												
The Payment has not been claimed	(select count(*) from IVE_ABSTRACT_CLAIM C where PAYMENT_ID = C.PAYMENT_ID) = 0	D2.114												
The Original Payment has been claimed	(select count(*) from IVE_ABSTRACT_CLAIM C where C.PAYMENT_ID = ORIG_PAYMENT_ID) > 0	D2.115												
The Original Payment was made within the previous five quarters	(select PYMT_DT from PAYMENT P where P.PAYMENT_ID = ORIG_PAYMENT_ID) >= Report Payment Start Date	D2.116												
Create claims only for payments where an Exclusion for the Title IV-E Abstract Report does not exist	(select count(*) from EXCL_PYMT_XREF X where PAYMENT_ID = X.PAYMENT_ID and EXCL_MODULE_CD = '01') = 0	D2.117												

1.1.2.9.1 Negative IV-E Claim Field Rules

Requirement	Comments	Identifier
<p>The Claim Amount, Warrant / Eff Date and Payment # on a negative claim is based on the Negative Payment Modification.</p> <p>All other data on a negative claim must match the original claim.</p>	<p>The following is stored on a separate table based on person information at the point in time based on the SSIS Person # on the payment</p> <p>Client Name</p> <p>These can be copied from the original claim:</p> <ul style="list-style-type: none"> PMI # Date of Birth Extended Eligibility Continuous Placement Start Date Cont Placement # DOC Points Initial Best Interest Date Initial Placement Authority IV-E Service Type Person # Provider Type Reported Age Reported License Rule Code Rule 5 Room and Board Indicator Service Dates VPA Signed Date <p>The following may be different and are the values on the Negative Payment Modification:</p> <ul style="list-style-type: none"> Claim Amount Warrant / Eff Date Payment # Service Vendor Name 	<p>D2.118</p>

1.1.2.9.2 Original Payment

Requirement	Comments	Identifier
Include the Original Payment # on the information sent to DHS/FOD on negative claims.	The Original Payment # is included on the information sent to DHS/FOD, it is not stored on the SSIS Claim	D2.119 Design Decision
	Original Payment # = the "Original Payment #" on the Negative Payment Modification PAYMENT.ORIG_PAYMENT_ID	SSIS Source

1.1.2.9.3 Original IV-E Claim

Requirement	Comments	Identifier
Include the Original Claim # on negative claims		D2.120 Design Decision
	Original IV-E Claim # = the IV-E Claim # for the Original Payment IVE_ABSTRACT_CLAIM. IVE_ABSTRACT_CLAIM_ID where PAYMENT.ORIG_PAYMENT_ID = IVE_ABSTRACT_CLAIM.PAYMENT_ID	SSIS Source

1.1.3 IV-E Child Counts

IV-E Child Counts are based on children in placement in a given month for whom a maintenance payment has been made.

This section contains the detail requirements for IV-E Child Counts.

Requirement	Comments	Identifier										
Report a monthly count of the number of children in foster care		D3.1										
Each person is counted only once on a line regardless of the number of payments for that person.	Create all IV-E Child Counts by DISTINCT PERSON_ID.	D3.2										
Create child counts based on the month of service.	Child counts are reported based on the service dates	D3.3 Design Decision										
The child count ratio is based on quarterly averages. The local agency is normally making payments for services in the prior month. Rather than reporting Child Counts based on a calendar quarter, offset the Report Period by one month to create a complete quarter of data.	<p>Service dates for each Report Period are listed below.</p> <table border="1"> <thead> <tr> <th>Report Period</th> <th>Child Count Report Months</th> </tr> </thead> <tbody> <tr> <td>Q1</td> <td>Dec. – Feb.</td> </tr> <tr> <td>Q2</td> <td>Mar. – May</td> </tr> <tr> <td>Q3</td> <td>June – Aug.</td> </tr> <tr> <td>Q4</td> <td>Sept. – Nov.</td> </tr> </tbody> </table>	Report Period	Child Count Report Months	Q1	Dec. – Feb.	Q2	Mar. – May	Q3	June – Aug.	Q4	Sept. – Nov.	D3.4 Design Decision
Report Period	Child Count Report Months											
Q1	Dec. – Feb.											
Q2	Mar. – May											
Q3	June – Aug.											
Q4	Sept. – Nov.											
EFC-SIL Payments that span more than one calendar month are counted in each month of service.	Other payments that span more than one calendar month, though invalid to claim, are counted once based on the "Service Start Date."	D3.5										
Create adjustments to the previous four quarters Child Counts to report changes in MAXIS IV-E Eligibility and Reimbursability as well as any changes made in SSIS after the previous report was generated.	Child Counts are recalculated for each of the previous quarters included in the report. The updated count as well as the adjustment to the previous quarter is calculated.	D3.6										

Requirement	Comments	Identifier						
Create Child Counts for the following: <ul style="list-style-type: none"> • A. IV-E Eligible Child, Reimbursable • B. IV-E Eligible Child, SSI & Relative License Pending • C. Total Children in Placement • D. IV-E Eligible Indian Child, Reimbursable • E. IV-E Eligible Indian Child, SSI & Relative License Pending • F. Total Indian Children in Placement 		D3.7						
Include the following fields on the child counts <table border="1" data-bbox="207 772 727 1016"> <thead> <tr> <th>Field Name</th> </tr> </thead> <tbody> <tr> <td>IVE_RPT_CNT_LINE_CD</td> </tr> <tr> <td>IVE_RPT_CNT_TYPE_CD</td> </tr> <tr> <td>LINE_ADJUSTMENT</td> </tr> <tr> <td>LINE_CNT</td> </tr> <tr> <td>LINE_COUNT_DT</td> </tr> </tbody> </table>	Field Name	IVE_RPT_CNT_LINE_CD	IVE_RPT_CNT_TYPE_CD	LINE_ADJUSTMENT	LINE_CNT	LINE_COUNT_DT	See Section 1.1.3.2, "Child Count Lines," starting on page 56 for details on each field. Note: The Child Count information sent to DHS/FOD contains one record per quarter. Records for adjusted quarters only include the Adjustment number, not the actual count.	D3.8
Field Name								
IVE_RPT_CNT_LINE_CD								
IVE_RPT_CNT_TYPE_CD								
LINE_ADJUSTMENT								
LINE_CNT								
LINE_COUNT_DT								
Include the following fields additional fields: <table border="1" data-bbox="207 1129 727 1213"> <thead> <tr> <th>Field Name</th> </tr> </thead> <tbody> <tr> <td>PERSON_ID</td> </tr> </tbody> </table>	Field Name	PERSON_ID		D3.9 Design Decision				
Field Name								
PERSON_ID								

1.1.3.1 Child Count Payment Selection Criteria

To count a payment, it must meet all of the following conditions. If any condition is not met, the payment is not included in the IV-E Child Counts.

Criteria	Details	Ref										
"Warrant / Eff Date" is on or before the Report Period End Date	PYMT_DT <= Report Period End Date	D3.10										
The service dates are between the Report Payment Start Date - 1 month and Report Period End Date - 1 month (5 quarters of payments) For example, Report Period = Q1 2011 (1/1/11-3/31/11) Service dates include 12/1/09-2/28/11	PYMT_SVC_START_DT between add_months(Report Payment Start Date , -1) and add_months(Report Period End Date , -1)	D3.11										
"Payment Status" is "Paid"	PYMT_STATUS_CD = 'PD'	D3.12										
A Person is selected on the Payment	PAYMENT.PERSON_ID = PERSON.PERSON_ID	D3.13										
The Person has an Actual DOB	PERSON.BIRTH_DT is not null	D3.14										
"Service" is a Reimbursable Service for Maintenance	"Service" is a Reimbursable Service with one of the following Special Cost Codes <table border="1" data-bbox="699 1129 1268 1604"> <thead> <tr> <th>Service</th> <th>Special Cost Code</th> </tr> </thead> <tbody> <tr> <td>171, 180, 181, 183, 185, 483, 188</td> <td>Blank</td> </tr> <tr> <td>188</td> <td>16 - EFC-SIL - Additional maintenance expenses</td> </tr> <tr> <td>483</td> <td>17 - Rule 5 Room & Board Only</td> </tr> <tr> <td>180 or 181</td> <td>11 - Foster Care Maintenance Only - Basic</td> </tr> </tbody> </table>	Service	Special Cost Code	171, 180, 181, 183, 185, 483, 188	Blank	188	16 - EFC-SIL - Additional maintenance expenses	483	17 - Rule 5 Room & Board Only	180 or 181	11 - Foster Care Maintenance Only - Basic	D3.15
Service	Special Cost Code											
171, 180, 181, 183, 185, 483, 188	Blank											
188	16 - EFC-SIL - Additional maintenance expenses											
483	17 - Rule 5 Room & Board Only											
180 or 181	11 - Foster Care Maintenance Only - Basic											
"Payment Type" is one of the following <table border="1" data-bbox="228 1696 605 1885"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Payment Request</td> </tr> <tr> <td>2</td> <td>Posted Payment</td> </tr> <tr> <td>3</td> <td>Correcting Entry Adjustment</td> </tr> </tbody> </table>	Code	Description	1	Payment Request	2	Posted Payment	3	Correcting Entry Adjustment	PYMT_TYPE_CD in ('1', '2', '3')	D3.16		
Code	Description											
1	Payment Request											
2	Posted Payment											
3	Correcting Entry Adjustment											

Criteria	Details	Ref
The Net Amount > 0	PAYMENT.PYMT_PAID_AMT + (select sum(PYMT_PAID_AMT) from PAYMENT P where P.ORIG_PAYMENT_ID = PAYMENT_ID and P.PYMT_TYPE_CD in ('4','5','6','7','8') and P.PYMT <= Report Period End Date) > 0	D3.17
A continuous placement exists for the service dates See Section 1.1.2.7.19, "Cont Placement #," starting on page number 39 for details.	ValidContPlcmt for PYMT_SVC_START_DT through PYMT_SVC_END_DT	D3.18
The Supervising Agency on the Continuous Placement meets the requirements to generate a claim	See Section 1.1.2.7.19, "Cont Placement #," starting on page number 39 for details.	D3.19
A IV-E Placement exists for the service dates .	ValidIV-EPlacement for PYMT_SVC_START_DT through PYMT_SVC_END_DT	D3.20
Placements for the purpose of Courtesy Supervision are not counted	PLCMT_LOC.COURTESY_SUPVN_IND <> 'Yes'	D3.21
The Initial Placement Authority = "Court Ordered" or "Voluntary"	ValidPlaceAuth	D3.22
If the client is over 18, Extended Eligibility = 'Yes'	See Section 1.1.2.7.24, "Extended Eligibility," starting on page 44 for details.	D3.23
The client is under 21	PYMT_SVC_END_DT < PERSON.BIRTH_DT + 21*12	D3.24

Table 1-1: Child Count Payment Selection Criteria

Note: Payments with an **Exclusion** for the Title IV-E Abstract Report are included in the Title IV-E Child Counts.

1.1.3.2 Child Count Lines

The details for each line are described below.

1.1.3.2.1 Total Children in Placement

Criteria	Details	Ref
The Payment meets the criteria in the section "Child Count Payment Selection Criteria"	Count each child only once per month.	D3.25

1.1.3.2.2 IV-E Eligible Child, Reimbursable

Criteria	Details	Ref
The Payment was counted in Total Children in Placement		D3.26
The Payment has been claimed	(select count(*) from IVE_ABSTRACT_CLAIM C where PAYMENT_ID = C.PAYMENT_ID) > 0	D3.27

1.1.3.2.3 IV-E Eligible Child, SSI & Relative License Pending

Criteria	Details	Ref
The Payment was counted in Total Children in Placement		D3.28
The child was not counted in IV-E Eligible, Reimbursable		D3.29
The Payment has not been claimed	(select count(*) from IVE_ABSTRACT_CLAIM C where PAYMENT_ID = C.PAYMENT_ID) = 0	D3.30
The client is IV-E Eligible	IV-EEligibleClient for PYMT_SVC_START_DT through PYMT_SVC_END_DT	D3.31

Criteria	Details	Ref						
<p>The child is either receiving SSI or in a relative placement with a pending license as follows:</p> <ul style="list-style-type: none"> “Is the child receiving SSI (Title XVI) or other Social Security, such as RSDI?” on the Continuous Placement is “Yes” The child is in a relative placement “Setting” <table border="1" data-bbox="297 596 609 852"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>01</td> <td>Pre-adoptive home - relative</td> </tr> <tr> <td>03</td> <td>Foster family home - relative</td> </tr> </tbody> </table> <p>The child has been in the setting for 12 months or less (Added in v12.3, PR# 12-0413-1652-45)</p> <p>A valid foster care license does not exist for the service vendor</p>	Code	Description	01	Pre-adoptive home - relative	03	Foster family home - relative	<p>One of the following conditions is true:</p> <ul style="list-style-type: none"> The child is receiving SSI CONTPLCMT.SSI_SSA_FFS_IND = ‘Y’ All of the following are true <ul style="list-style-type: none"> A IV-E Placement exists for the Service Vendor Valid IV-E Placement and History for PYMT_SVC_START_DT through PYMT_SVC_END_DT The child is in a relative placement “Setting” IV-E Placement – Relative The child has been in the “Setting” for 12 months or less PYMT_SVC_END_DT <= last_day(add_months (trunc (LOC_EFF_DT), 12)) A valid family foster home license does not exist for the Service Vendor during the service dates, or the status of the license is “Pending” not exists (select * from PROV_LIC_DETAIL where BUS_ORG_ID = PAYMENT.SVC_BUS_ORG_ID and PYMT_SVC_START_DT >= PROV_LIC_START_DT and PYMT_SVC_END_DT <= PROV_LIC_END_DT and FACILITY_TYPE_CD in (‘001’,‘OSH’,‘OT2’)) or exists (select * from PROV_LIC_DETAIL where BUS_ORG_ID = PAYMENT.SVC_BUS_ORG_ID and PYMT_SVC_START_DT >= PROV_LIC_START_DT and PYMT_SVC_END_DT <= PROV_LIC_END_DT and LICENSE_STAT_CD = ‘5’ and FACILITY_TYPE_CD in (‘001’,‘OSH’,‘OT2’)) 	<p>D3.32</p>
Code	Description							
01	Pre-adoptive home - relative							
03	Foster family home - relative							

1.1.3.2.4 Total Indian Children in Placement

Criteria	Details	Ref
The child was counted in Total Children in Placement		D3.33
"Qualifies under ICWA" = "Yes"	PERSON.ICWA_IND = 'Y'	D3.34

1.1.3.2.5 IV-E Eligible Indian Child, Reimbursable

Criteria	Details	Ref
The child was counted in IV-E Eligible Child, Reimbursable		D3.35
"Qualifies under ICWA" = "Yes"	PERSON.ICWA_IND = 'Y'	D3.36

1.1.3.2.6 IV-E Eligible Indian Child, SSI & Relative license pending

Criteria	Details	Ref
The child was counted in IV-E Eligible Child, SSI & Relative License Pending		D3.37
"Qualifies under ICWA" = "Yes"	PERSON.ICWA_IND = 'Y'	D3.38

1.1.4 IV-E Recoveries

Requirement	Comments	Identifier
Provide a screen for local agencies to enter the total IV-E recovery amount.		D4.1
The IV-E recovery amount must be a whole dollar.		D4.2
The IV-E recovery amount always a positive value, with the rare exception recoupments to the Child Support Payment Center (CSPC)	Display a warning when a negative value is entered.	D4.3

RELATED DOCUMENTS

Following is a list of documents related to this software specification.

Document	Information
SS Title IV-E Abstract Report.docm	Information about the user interface and design of the Title IV-E Abstract Report
SS Title IV-E Abstract Report – Proofing Messages.docm	Detailed information about proofing messages for the Title IV-E Abstract Report

End of Title IV-E Abstract Report – Detail Requirements Software Specification