Chapter 10

Reproductive Health - Obstetrics and Gynecology

The following health services are included in this chapter:

- Family Planning
- Sterilization
- Hysterectomy
- Obstetric and Gynecology Services
- Enhanced Prenatal Services for “At-risk” Pregnancies;
- HIV Counseling & Testing for Pregnant Women
- Certified Nurse Midwife Services
- Abortion Services

Family Planning

- MHCP covers family planning services and supplies for individuals of childbearing age, including minors.
- Recipients must be free of coercion and free to choose the method of family planning they want to use.
- The provider cannot require that an unmarried minor’s parent or guardian consent to family planning services for the minor.

Definitions

Family Planning Service: A family planning health service includes screening, testing, and counseling for sexually transmitted diseases, such as HIV, when provided in conjunction with the voluntary planning of conception and childbearing, and related to a recipient’s condition of fertility.

Family Planning Supply: A prescribed drug or contraceptive device ordered by a physician or other eligible provider with prescribing authority for treatment of a condition related to a family planning service.

Family Planning Agency: A family planning agency means an entity having a medical director that provides family planning services under the direction of an MHCP enrolled physician. The medical director must ensure that the counseling and information on family planning are performed by trained personnel and according to accepted community standards.

Providers

Physicians, certified nurse midwives, certified nurse practitioners, physician assistants, clinical nurse specialists, clinics, outpatient hospital departments, pharmacies, and family planning agencies may provide some or all of the available family planning services and family planning
supplies. (Refer to applicable chapters for information and requirements relevant to the various providers.)

**Free Choice of Provider**

All MHCP recipients have free choice of family planning providers and may obtain the following services from any qualified provider, including those outside of their provider network. If a provider furnishes a family planning service to a managed care recipient and the provider is not part of the recipient’s health plan provider network, the provider must contact the health plan for payment.

- Family planning, including family planning supplies and sterilization; does not include abortion;
- Testing and treatment of a sexually transmitted infection (STI);
- Testing for AIDS and other HIV-related conditions, does not include treatment for HIV/AIDS. Recipients in managed care health plans must seek treatment for HIV/AIDS through their managed care network;
- Diagnoses of medical conditions that result in infertility; does not include treatment for infertility. Recipients in managed care health plans must seek infertility treatment through their managed care network.

**Covered Services**

The following family planning services are covered (although all providers listed above may not directly provide all of these services):

- Contraceptive devices (e.g., diaphragm, intrauterine device [IUD]);
- Family planning supplies (e.g., condoms, thermometers);
- Contraceptive injections (e.g., Depo-Provera);
- Prescriptions for the purpose of family planning;
- Emergency contraception (e.g., Plan B);
- Consultation, examination, and medical treatment;
- Genetic counseling (refer to Physician Extender section);
- Family planning counseling;
- Laboratory examination and tests;
- Infertility services, limited to diagnosis and treatment of medical problems causing infertility (e.g., pituitary or ovarian tumor, testicular mass; refer to non-covered services section below);
- Voluntary sterilization (refer to Sterilization section);
- STI testing;
- HIV blood screening and counseling performed before and after HIV blood screening test.

For all family planning services, the recipient must have full knowledge of and consent freely to all family planning services.
Non-covered Services

- Reversal of voluntary sterilization;
- Fertility drugs and all associated services; and
- Artificial insemination, including in vitro fertilization.

Billing

- Bill in the CMS-1500 format (paper or electronically).
- Indian Health Services, family planning agencies and community health clinics dispensing oral contraceptives: use HCPCS code S4993. Bill up to a one-year supply (13 units) for each recipient per year.
- Bill emergency contraceptives using HCPCS code S4993 and diagnosis code V25.03. If you provide oral contraceptives (OCPs) and emergency contraceptives (ECs) on the same date of service, list OCPs (S4993) on one claim line and list EC (S4993-FP) on an additional claim line.

Sterilization

Definitions

Informed Consent/Sterilization Consent Form: A Sterilization Consent Form must be completed for each MHCP recipient who requests a sterilization procedure (see Obtaining Sterilization Consent at the end of this section). The Sterilization Consent Form provides an opportunity for providers to obtain informed consent by giving the recipient:

- An opportunity to ask questions about the sterilization process;
- An oral explanation about the procedure and any procedural risks in accordance with consent form requirements;
- A copy of the consent form; and
- Advice that the decision to be sterilized will not affect future care or benefits, and that the sterilization will not be performed for at least 30 days, except in the case of premature delivery.

The Sterilization Consent Form must be completed in order for MHCP to reimburse providers for performing sterilization procedures. This requirement applies to all MHCP recipients (MA, GAMC and MinnesotaCare). Under no circumstances will the requirement be waived.

Institutionalized Individual: An individual who is involuntarily confined or detained, under a civil or criminal statute, in a correctional or rehabilitative facility, including a mental health or other facility for the care and treatment of mental illness, or confined under a voluntary commitment in a mental health or other facility for the care and treatment of mental illness.

Mentally Incompetent Individual: An individual who is declared mentally incompetent by a federal, state, or local court of competent jurisdiction for any purpose, unless the individual has been declared competent for purposes which include the ability to consent to sterilization. Note: A recipient who has a legal guardian is considered a mentally incompetent individual.
Sterilization: Any medical procedure, treatment, or operation for the purpose of rendering an individual permanently incapable of reproducing.

For information about hysterectomies refer to the Hysterectomy section; different guidelines apply.

Free Choice of Provider

- Sterilization is a family planning service. All MHCP recipients have free choice of family planning providers and may obtain family planning services from any qualified provider, including those outside of their provider network.
- If a provider furnishes a family planning service to a managed care recipient and the provider is not part of the recipient’s health plan provider network, the provider must contact the health plan for payment.

Covered Services

The Code of Federal Regulations (42 CRF 441.250 – 441.259) outlines requirements, including use of the Sterilization Consent Form for obtaining informed consent, which must be met for MHCP to reimburse providers for performing sterilization procedures. The requirements apply to all MHCP recipients (MA, GAMC and MinnesotaCare). Under no circumstances will these requirements be waived.

The following criteria must be met in order for a sterilization to be covered by MHCP:

- The individual is at least 21 years of age at the time the consent form is signed.
- The individual is not mentally incompetent.
- The individual is not institutionalized.
- The individual has voluntarily signed the Sterilization Consent Form (a consent form signed by a guardian, conservator, or anyone other than the individual to be sterilized will not be accepted).
- Consent form signature timelines:
  - The individual to be sterilized must sign and date the consent form. At least 30 days, but not more than 180 days, must pass between the date the individual signed the consent form and the date of surgery.
  - The interpreter, if one was provided, must sign and date the consent form after the patient signs but before the day of surgery.
  - The person obtaining the consent must sign and date the consent form after the patient signs but before the day of surgery. The person obtaining the consent certifies, by signing the consent form, that he/she explained the requirements for informed consent orally and, to the best of his/her knowledge and belief, the individual to be sterilized appeared mentally competent and knowingly and voluntarily consented to be sterilized.
  - The physician who performs the sterilization procedure must sign and date the consent shortly before (no more than 15 days prior to surgery) the day of surgery, or after the surgery. The physician certifies by signing the consent form, that he/she advised the individual to be sterilized that no federal benefits will be withdrawn if the recipient chooses not to be sterilized, explained the requirements for informed consent, and, to the
best of his/her knowledge and belief, the individual to be sterilized appeared mentally competent and knowingly and voluntarily consented to be sterilized.

- Exceptions to timelines:
  - Emergency abdominal surgery – when an individual is sterilized at the time of emergency abdominal surgery payment will be made if at least 72 hours have passed since he or she signed the consent form.
    **Note:** An emergency cesarean section is not considered emergency abdominal surgery.
  - Premature delivery – when an individual is sterilized at the time of premature delivery, payment will be made if at least 72 hours have passed since she signed the consent form and the consent form was signed by the individual at least 30 days before the expected date of delivery.

- Consent cannot be obtained nor may the recipient consent to sterilization when the recipient is:
  - In labor or childbirth;
  - Seeking to obtain or obtaining an abortion; or
  - Under the influence of alcohol or other substances that affect the recipient’s state of awareness.

There may be situations, other than those listed above, in which the provider believes that the recipient is unable to give informed consent. It is incumbent upon the physician to obtain informed consent. If the physician does not believe the recipient can give informed consent, he/she should not perform the sterilization or may request additional information to determine whether the recipient is capable of giving informed consent (such as a psychiatric evaluation).

**Non-covered Services**

- Reversal of voluntary sterilizations;
- Sterilization of a mentally incompetent individual;
- Sterilization of an institutionalized individual; individuals living in the following institutions, whether voluntarily, civilly committed, or court ordered are considered institutionalized:
  - Intermediate care facilities for the mentally retarded (ICF/MR);
  - Regional treatment centers that are not institutions for mental disease (RTC, not IMD);
  - Regional treatment centers that are institutions for mental disease (RTC-IMD);
  - Institutions for mental disease (IMD);
  - Correctional facilities (county or non-county);
  - Chemical dependency rehabilitation programs; and
  - Residential facilities for mentally ill persons.
- Sterilization of anyone under 21 years of age at the time consent was obtained.
- **MHCP does not cover sterilization procedures without the informed consent of the individual being sterilized. Under no circumstances will MHCP pay for a sterilization in which a person has given consent for another person; this includes court-ordered sterilization of a mentally incompetent or institutionalized individual.**
**Required Counseling**

The person obtaining the consent for the sterilization must answer the recipient’s questions regarding the procedure, provide a copy of the Sterilization Consent Form, and explain the requirements for informed consent that are listed on the consent form. Additionally, shortly before the sterilization, the physician who will perform the procedure must explain the requirements for informed consent that are listed on the Sterilization Consent Form.

**Interpreter Services**

The provider must supply a language interpreter to ensure that the information regarding the sterilization is communicated effectively for recipients who do not understand English. A sign language interpreter must be provided to ensure that information is communicated effectively to hearing impaired. Refer to Requirements for Providers (Ch. 1).

**Transfer of Consent**

If a recipient moves or changes providers, the consent form may be transferred to the new provider. However, the physician who performs the surgery must complete the physician section and sign within the appropriate time limits.

**Billing**

- A copy of the Sterilization Consent Form must accompany claims from the physician, anesthesiologist, CRNA, and hospital or surgical center. Attach the consent form to a paper copy of the claim; do not bill electronically.
  - Complete every space on the form except: Race and Ethnicity of recipient (optional), and Interpreters Statement (if an interpreter was unnecessary).
  - Dates corresponding to signatures must be filled in by the person whose signature is on the preceding line (patient, interpreter, person obtaining consent, or physician). Under no circumstances should the consent form dates be typed onto the form or filled in by someone other than the signatory.
  - Dates can be changed only to correct a clerical error. If, for example, a person writes 1/8/01 instead of 1/8/02, the error should be struck through, but not obliterated, and the correct date entered. The reason for the change should be evident.
  - The alternate final paragraphs section (lower right hand section of the consent form) requires a choice between paragraph one or paragraph two. If you select paragraph two, provide information about the premature delivery or emergency abdominal surgery.
- Retroactive eligibility:
  - Sterilization Consent Form requirements cannot be met retroactively. When an individual without financial resources or insurance coverage requests sterilization and indicates that he/she is considering application or has applied for MA/GAMC, it is advisable for the provider to obtain informed consent, complete a consent form, and allow for the 30-day waiting period.
− If a recipient becomes retroactively eligible for MA/GAMC and has paid for the sterilization procedure, the provider must reimburse the recipient the full amount paid and bill DHS if there is a valid consent form and the 30-day waiting period was observed.

**Obtaining Sterilization Consent Forms**

The Sterilization Consent Form is contained in U.S. Department of Health and Human Services booklets that explain the sterilization procedure.

- [Information for Women - Your Sterilization Operation](DHS-2510); and
- [Information for Men - Your Sterilization Operation](DHS-2511).

**Hysterectomy**

**Definition**

**Hysterectomy**: A medically necessary procedure or operation for the purpose of removing the uterus. **MHCP does not cover hysterectomy for sterilization purposes.**

**Covered Services**

The Code of Federal Regulations (42 CFR 441.250 – 441.259) outlines requirements, including recipient acknowledgment of information, that must be complied with for MHCP to reimburse providers for performing hysterectomy procedures. See the [sample Hysterectomy Acknowledgment Statement](#) at the end of this section.

**Coverage Restrictions**

A hysterectomy is not covered under the following circumstances:

- When it is performed solely for the purpose of making a recipient sterile; or
- If there is more than one purpose for the procedure, but it would not have been performed but for the purpose of rendering the individual permanently incapable of reproducing.

A written Hysterectomy Acknowledgment Statement (HAS) is required in order for the procedure to be covered.

**Written Acknowledgment**

- MHCP requires the provider to secure authorization to perform a hysterectomy by informing the individual (and her representative, if applicable), that the hysterectomy will make her permanently incapable of reproducing. The recipient and her representative, if any, must sign a HAS verifying that they received this information, both orally and in written form. The HAS must accompany any claim(s) submitted by the physician, anesthesiologist, CRNA, and hospital.

  A sample HAS is included at the end of this section. It is not mandatory for the provider to use this sample acknowledgment statement. Any document that the recipient (or her representative) has signed that shows the provider informed the recipient that she would be incapable of reproducing due to the hysterectomy is permissible.
• **Do not use the Sterilization Consent Form:** MHCP does not cover a hysterectomy as a means for sterilization.

• The recipient or guardian may sign the HAS before or after the hysterectomy. However, if the statement is signed after the hysterectomy, it must indicate that before the surgery took place, the recipient was informed that the hysterectomy would make her sterile.

• Guardians must sign the HAS for mentally incompetent recipients.

• A recipient residing in an institution, such as a regional treatment center, may sign the HAS for herself unless she has been found incompetent by a court or unless the head of the institution determines that the recipient is incompetent and requires a representative.

**Sample Hysterectomy Acknowledgment Statement**

My doctor informed me, both orally and with written materials, that the performance of a hysterectomy would make me sterile (not able to have children).

Signed ___________________________ Date _____________________________

If the recipient signs the acknowledgment after the hysterectomy, the acknowledgment must show that the recipient was informed of the consequences of the hysterectomy before the procedure was performed.

**Exceptions**

The written HAS requirement is waived in the following situations:

• **Life-threatening Emergency:** When a recipient needs a hysterectomy because of a life-threatening emergency in which a physician determines that prior acknowledgment is not possible. The physician must provide a written certification (including physician signature and date) that prior acknowledgment was not possible and describe the nature of the emergency. This certification must accompany all claims for services associated with the hysterectomy.

• **Recipient Already Sterile:** A hysterectomy performed on a recipient who was sterile before the surgery, is not subject to the written acknowledgment requirement. The claims submitted by the physician who performed the hysterectomy, the anesthesiologist, CRNA, and the hospital must be accompanied by a written physician certification (including physician signature and date) of the recipient’s sterility and the cause of the sterility.

**Sample Statement – Recipient Already Sterile**

(Patient’s name) had a tubal ligation procedure on (date) making her sterile prior to the hysterectomy performed on (date).

Signature of physician: _____________________________ Date: ____________________

**Obstetric Services**

MHCP covers prenatal, delivery, postpartum, and newborn care services.
Effective for dates of service on or after 7/1/05, MHCP no longer requires the Minnesota Pregnancy Assessment Form (MPAF). Beginning 7/1/05, screen all pregnant MHCP recipients using a standardized prenatal assessment tool (e.g., ACOG’s Obstetric Medical History), or an assessment tool that is developed or customized in the provider’s office and is equivalent to one of the standardized tools. Maintain a copy of the prenatal risk assessment in the recipient’s record.

Enhanced prenatal services continue to be available for women determined to be “at-risk” for poor birth outcomes.

Enhanced Prenatal Services for “At-risk” Pregnancies

Definitions

Risk Assessment: A standardized prenatal assessment tool, or equivalent, for identification of the medical, genetic, life-style, and psychosocial factors that put a recipient “at-risk” for preterm delivery, a low birth weight infant, or a poor birth outcome.

“At-risk”: A pregnant woman who requires additional prenatal care services because of factors that increase the probability of a preterm delivery, a low birth weight infant, or a poor birth outcome.

Low Birth Weight: Birth weight less than 2,500 grams (5.5 pounds).

Preterm Birth: Birth before the gestational age of 38 weeks.

Enhanced Services: Services available to recipients identified as “at-risk” for a poor pregnancy outcome. These services are reimbursed in addition to routine obstetric services. Enhanced services include “at-risk” antepartum management, care coordination, prenatal health education I & II, prenatal nutrition education, and postpartum follow-up home visit.

Enhanced Services For “At-risk” Pregnancies

Based on information gathered from the prenatal assessment and screening process, a provider may determine that a recipient is “at-risk” for a poor birth outcome. Recipients determined to be “at-risk” are eligible for enhanced services. The primary care provider is responsible for ordering and referring the recipient to enhanced services.

Six enhanced services are covered for “at-risk” pregnancies:

- “At-risk” Antepartum Management
- Care Coordination
- Prenatal Health Education I
- Prenatal Health Education II: Lifestyle and Parenting Support
- Prenatal Nutrition Education
- Postpartum Follow-up Home Visit

Refer to the Billing Enhanced Services section below for limits and eligible providers.
“At-risk” Antepartum Management (H1001)

When a pregnant woman is identified as being “at-risk”, the primary care provider is eligible for MHCP payment for the additional time and expertise required, beyond routine prenatal care, to manage the recipient’s care based on her “at-risk” status. The primary care provider who is responsible for the care of the recipient during pregnancy determines what additional health services would benefit the recipient and provides medical care as determined by the woman’s needs.

Care Coordination (H1002)

Care coordination is the development, implementation, and ongoing evaluation of the plan of care for an “at-risk” pregnant woman. The care coordinator provides continuity, makes referrals, monitors the woman’s progress, and advocates for the woman to assure access to services that support a healthy pregnancy and improve birth outcomes. Care coordination services include:

- Documentation that the pregnant woman is “at-risk” for a poor birth outcome;
- Development of an individual plan of care that addresses the woman’s specific needs and risks related to the pregnancy;
- Ongoing evaluation and, when appropriate, revision of the plan of care;
- Involvement of the pregnant woman and her support network in the assessment and plan of care;
- Coordination of services and referrals to appropriate community resources and health care providers;
- Advocacy for the pregnant woman in working with the various health care providers; and
- Monitoring, on an ongoing basis, to determine whether or not the woman is receiving enhanced prenatal services in a timely and economical manner, and that each service is of expected and adequate quality.

Documentation Requirements:

- A written, individualized plan of care that addresses the woman’s specific needs related to the pregnancy, including any revisions of that plan;
- Evidence of all referrals made, and follow-up on those referrals;
- Evidence of the following activities: monitoring, coordinating and managing nutrition and prenatal education services to ensure that they are provided in the most economical, efficient, and cost effective manner.

Prenatal Health Education

Health education for the “at-risk” pregnant woman is a core intervention that is preventive, resource-efficient, and consistent with the recipient’s individualized plan of care. Educational services are based on the pregnant woman’s risks as identified on the prenatal screening tool, and her needs as determined by the primary care provider and care coordinator, in consultation with the pregnant woman.
Designated “at-risk” pregnant women require innovative and individualized approaches to prenatal care to effectively meet their educational needs. Educational interventions target risk factors, medical conditions and health behaviors that can be alleviated or improved through education. Educational services begin with the initial assessment visit and continue throughout the perinatal period. Services can be provided on a one-to-one basis, in small group settings, or in classes individualized to the woman’s own needs and interests. Prenatal health education promotes behavior changes in the woman’s daily life that will support a healthy pregnancy and result in an improved perinatal outcome.

**Prenatal Health Education I (H1003)**

Prenatal Health Education I provides general information about pregnancy and prenatal care. It also covers high-risk medical conditions and behaviors that can be alleviated or improved through education. It includes the following:

- **Information about pregnancy and physical changes that occur during pregnancy:**
  - Normal changes due to pregnancy (specific to trimester):
    - Maternal anatomy and physiology
    - Fetal development
    - Emotional/psychosexual issues
  - Description and importance of continued prenatal care
  - Comfort measures
  - Self-care during pregnancy
  - Pregnancy danger/warning signs
  - Specific medical conditions
    - Diagnosis and significance of condition during pregnancy
    - Treatments including medications, activity level, options, and rationale
    - Appropriate referrals

- **Information to prepare the pregnant woman for the birth process when she is near the end of the second trimester or early third trimester:**
  - Anatomy and physiology of labor and delivery
  - Coping skills
  - Medical management
  - Hospital procedures
  - Danger signs
  - Communication with health providers

- **Information that helps the pregnant woman identify and take steps to prevent preterm labor and delivery:**
  - Symptoms of preterm labor
  - Self-detection of preterm labor
  - Treatment
  - Preventive measures
Documentation Requirements:

Evidence that education/information was provided, amount of time spent, materials used, notes about the woman’s reactions to information, review of information at subsequent visits, dates and person(s) providing the service, referrals and follow-up.

Prenatal Care Health Education II: Lifestyle & Parenting Support (H1003)

Lifestyle and Parenting Support Educational Services supplement the Prenatal Health Education I services, and are necessary for pregnant women who require more time and specialized education to bring about change in risk behaviors and lifestyle. Behavior and lifestyle changes resulting from this early and consistent education may have long-term impacts on improving the health of the mother, baby, and subsequent pregnancies.

Topics addressed in Prenatal Education II will depend on the individual needs of the “at-risk” pregnant woman. They may include:

- Education/assistance to stop smoking
  - Affects of smoking on mother and fetal development
  - Smoking cessation/decrease smoking education
  - Referral to support program to quit
- Education/assistance to stop the use of alcohol or street drugs
  - Affects of alcohol/drugs on fetal development
  - Abstinence education
  - Referral to support program if needed
- Education on safe use of OTC/prescription drugs
  - Emphasis on need to consult with primary provider before using any type of medication during pregnancy
- Environmental/occupational hazards (e.g., lead)
  - Identify potential exposure to hazard in woman’s own environment
  - Affects on fetal growth and development
  - Efforts to minimize exposure
  - Referrals for follow-up if needed
- Stress management
  - Identification of potential stressors in the woman’s life: job, unemployment, school
  - Self identification of signs of stress
  - Relaxation techniques
  - Referral to support services when appropriate
  - Coping skills
- Communication skills and resources
  - Family support systems
  - Health care providers
- Building self-esteem
- Parenting skills to meet the physical, emotional and intellectual needs of the infant; bonding
- Identification and affirmation of positive prenatal parenting behaviors
  - Infant needs/cares
  - Nurturing
  - Infant feeding preparation
  - Referral to community resources if needed
- Planning for continuous, comprehensive pediatric care following delivery.

Documentation Requirements:

Evidence that education/information was provided, amount of time spent, materials used, notes about the woman’s reactions to information, review of information at subsequent visits, dates and person(s) providing the service, referrals and follow-up.

**Prenatal Nutrition Education (H1003)**

Prenatal Nutrition Education includes nutritional assessment and education that identifies nutritional risks and problems that the pregnant woman may already have or be in danger of developing. Develop an individualized nutrition care plan for each “at-risk” pregnant woman based on the assessment of her nutritional status, and address the prevention and resolution of identified risks and problems. Incorporate the nutrition care plan into the overall individualized plan of care.

Nutrition interventions include individual and/or group nutrition education, and provide information that will assist the pregnant woman in making informed nutritional choices and accept responsibility to change nutritional behaviors to support a healthy pregnancy.

Prenatal Nutrition Education includes:
- An initial assessment of “nutritional risk” based on height, current and pre-pregnancy weight, laboratory data, clinical data, and self-reported dietary information.
- Ongoing assessment of the pregnant woman’s nutritional status (at least once every trimester) based on dietary information, adequacy of weight gain, measures to assess uterine/fetal growth, laboratory data, and clinical data.
- Development of an individualized nutrition care plan that addresses the woman’s nutritional deficits, prioritizes her nutritional needs, and proposes interventions and time frames with expected outcomes.
- Referral to food assistance programs, if indicated (WIC, food support, Mothers and Children Program, etc.).
- Nutritional interventions and education including:
  - Nutritional requirements of pregnancy and how nutrition is linked to fetal growth and development;
  - Recommended Dietary Allowance for normal pregnancy;
  - Appropriate weight gain;
  - Importance of vitamin and iron supplements and recommendations for taking them;
− Infant nutritional needs and feeding practices, including breast feeding;
− Incorporation of prenatal and postnatal exercise and physical activity.

Documentation Requirements:
− A written assessment of the woman’s nutritional status, and evidence of ongoing assessment and monitoring of her nutritional status.
− A written, individualized nutritional care plan indicating proposed interventions, time-frames, expected outcomes, and evidence of monitoring and ongoing evaluation of the care plan.
− Evidence that education/information on nutrition was provided, materials used, amount of time spent, notes about the woman’s reactions to the information, review of information at subsequent visits, dates and person(s) providing the service, referrals and follow-up.

**Postpartum Follow-up Home Visit (H1004)**

The Postpartum Follow-Up Home Visit is in addition to and separate from the mother’s six-week postpartum visit to her primary care provider. It is to be done within the first two weeks of the mother’s hospital discharge.

This visit gives special support to “at-risk” mothers and infants by following up on identified “at-risk” behaviors or medical conditions, and addressing the stress involved in caring for a new baby. It is an opportunity to provide:
− Reinforcement and support for positive behavior changes;
− Family planning counseling;
− Anticipatory guidance for healthy parenting; and
− Education about infant care

The home visit assesses any needs of the family that will require additional home visits or referrals to appropriate health and social service providers. Services include:
− Assessment of the woman’s health;
  − Follow-up on risk behaviors and/or medical conditions
  − Reinforcement of positive behavior and lifestyle changes
− Physical/emotional changes occurring during the postpartum period;
  − Anticipatory guidance regarding relationship with partner
  − Sexuality
  − Potential stress with family
  − Nutritional needs
  − Physical activity and exercise
− Contraceptive education;
− Parenting skills and support;
  − Adapting to parenthood
  − Parent/child relationship; bonding
− Child care arrangements and support
• Grief support if unexpected outcome;
• Parenting a sick or preterm infant, if indicated;
  − Follow-up on risk factors and conditions
• Assessment of infant’s health;
  − Infant weight/growth
  − Infant development and abilities

Documentation Requirements:
• Written assessment of mother’s and infant’s health, and the home environment.
• Evidence that education/information on nutrition was provided, materials used, amount of time spent, notes about the woman’s reactions to the information, review of information at subsequent visits, dates and person(s) providing the service, referrals and follow-up.
• Evidence of all referrals made, and follow-up on those referrals.
• Infant care
  − Feeding and infant nutritional needs
  − Recognition of illness in the newborn
  − Accident/injury prevention
  − Immunizations and pediatric care
  − Child and Teen Checkups (C&TC)
• Identification and referral of community health and social service resources and assessment of need for additional home visits
  − Mother
  − Infant

Billing Enhanced Services

<table>
<thead>
<tr>
<th>Enhanced Services</th>
<th>HCPCS Code</th>
<th>Providers Authorized to Provide Service and Bill</th>
</tr>
</thead>
<tbody>
<tr>
<td>“At-risk” Antepartum Management</td>
<td>H1001</td>
<td>MD, DO, CNM</td>
</tr>
<tr>
<td>Care Coordination</td>
<td>H1002</td>
<td>MD, DO, CNM, CNP, CNS, PA, RN</td>
</tr>
<tr>
<td>Prenatal Health Education I</td>
<td>H1003</td>
<td>MD, DO, CNM, CNP, CNS, PA, RN, Health Education Professional*</td>
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<tr>
<td>Prenatal Health Education II</td>
<td>H1003</td>
<td>MD, DO, CNM, CNP, CNS, PA, RN, Health Education Professional*</td>
</tr>
<tr>
<td>Prenatal Nutrition Education</td>
<td>H1003</td>
<td>MD, DO, CNM, CNP, CNS, PA**, RN** Dietitian, Nutritionist</td>
</tr>
<tr>
<td>Postpartum Follow-up Home Visit</td>
<td>H1004</td>
<td>MD, DO, CNM, CNP, CNS, PA, RN</td>
</tr>
</tbody>
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* Health educators with at least a baccalaureate level degree in health education and/or certification for prenatal education from one of the following organizations: ICEA, Lamaze, NCHEC, or IBCLC.
** Providers authorized to perform service with documented specialized nutritional education.

− Bill each enhanced service once per client per pregnancy.
• Enhanced services will be paid only for “at-risk” pregnant women. Effective for dates of service on or after 7/1/05, MHCP no longer requires the Minnesota Pregnancy Assessment Form (MPAF). Beginning 7/1/05, screen all pregnant MHCP recipients using a standardized prenatal assessment tool (e.g., ACOG’s Obstetric Medical History), or an assessment tool that is developed or customized in the provider’s office and is equivalent to one of the standardized tools.

• Maintain a copy of the prenatal risk assessment in the recipient’s record.

• The primary provider may contract or refer the enhanced services to other MHCP enrolled providers. In this case, the enrolled provider performing the service may bill MHCP directly in the CMS-1500 format (paper or electronic) with the codes listed in this section.

• The primary provider may contract or refer enhanced services to providers not enrolled in MHCP (i.e., RN or nutritional counselor). In this case, the primary provider is responsible for billing MHCP for all services provided and paying the provider(s) who performed the services.

• Physician extender modifiers are not required when billing for enhanced prenatal services.

Maternal Health Classes

• Prenatal education is provided to pregnant women for health promotion or risk reduction intervention. Do not bill for classes that are provided free to non-MHCP recipients.

• Use HCPCS codes S9442 and S9443 to bill for birthing and lactation classes. Public health nursing clinics may bill for maternal health classes, or other group education, using S9446.

• Bill one unit for each class encounter. A class that meets for three weeks has three encounters. For weekend classes, use the appropriate code with modifier 22 and an explanation for the number of hours billed.

• The following providers may provide and bill for prenatal education classes: enrolled physicians, nurse practitioners, physician assistants, clinical nurse specialists and certified nurse midwives.

• In addition, clinics and outpatient hospitals, whose prenatal education program is directed by one of the enrolled providers listed above, may bill for RNs or health educators with at least a baccalaureate level degree in health education and/or certification for prenatal education from one of the following organizations: International Childbirth Education Association (ICEA), Lamaze, National Commission for Health Education Credentialing (NCHEC), or International Board Certified Lactation Consultants (IBCLC).

Breast Pumps

Breast pumps are covered when ordered by a physician, certified nurse midwife or nurse practitioner for any nursing mother experiencing separation from her infant because of work, school, illness or for medically necessary reasons (refer to Equipment and Supplies).

Subcutaneous Terbutaline Pump (SQTP)

Use of the SQTP is a covered service for MHCP recipients. The following criteria must be met and documented in the medical record:

• Gestation of 20 weeks or greater but less than 37 weeks;
• Experiencing symptoms suggestive of preterm labor;
• Intact amniotic membranes;
• Cervical dilation <4 cm; and
• Modified or complete bed-rest.

**Ambulatory Uterine Monitoring Device**

**Ambulatory Uterine Monitoring Device**: Medical equipment designed to be used by the lay person to monitor uterine activity.

**Equipment and Systems Standards**

Authorization requests for the ambulatory uterine device will be considered when the following equipment standards are met:

• The equipment is ambulatory, which means monitoring may occur while the patient is conducting daily activities. A unit that must be plugged into an electrical outlet to function is not ambulatory.
• The equipment records data specifically labeled with the time on the printout.
• The equipment is designed for use by a lay person.
• The system monitors uterine activity for a minimum of two one-hour sessions daily.
• The equipment transmits uterine contraction data on a daily basis.
• The prescribing physician or certified nurse midwife is notified immediately by a nurse when abnormal contraction data or contraction data that fall outside of the prescribing physician’s or certified nurse midwife’s parameters is transmitted.
• The physician or certified nurse midwife receives a report and graph describing each week’s uterine activity on a weekly basis.
• The belt fits properly for the monitor to work effectively. The device may not accommodate extremely obese patients.

Obtain authorization for the rental of this device from the medical review agent under contract with DHS (CDMI). It is the responsibility of the medical supply provider to submit the Pharmacy/Medical Supply Authorization Form (DHS-3065) with:

• Sufficient information from the medical supply provider establishing that criteria listed in the “Equipment Standards” have been met; and
• Sufficient information from the prescribing physician or certified nurse midwife to establish that criteria listed in the “Medical Necessity Standards” has been met.

**Medical Necessity Standards/Documentation**

Authorization requests for this device will be approved only when the following requirements are met:

• The patient is “at-risk” for preterm labor and delivery based on the MPAF and a combination of the following medical necessity factors exist:
  – Occurrence of preterm labor with current pregnancy (describe);
- Preterm labor or delivery with a previous pregnancy;
- Multiple gestation;
- An anomalous uterus;
- Cervical problems including: an incompetent cervix; cervical changes (describe); and placenta previa.
- The patient is, or has recently been, under treatment to prevent preterm labor with a combination of the following methods:
  - Bed rest or restricted activity (describe restricted activity);
  - Tocolysis drug therapy (describe), including dosage/frequency;
  - Increased office visits or phone contact for patient counseling and monitoring;
  - Hospitalization for preterm labor (admission and discharge dates);
  - Less expensive appropriate alternative treatment was undertaken but was not successful or was contraindicated (describe);
  - The device is prescribed for a period that begins no earlier than the 24th week and continues no longer than the 34th week;
  - In the opinion of the physician or certified nurse midwife, the patient is capable of complying with a home monitoring program (explain);
  - The information required above is in letter format, individualized to the patient, and includes the following:
    - Documentation of each item listed under medical necessity standards; and
    - The duration of pregnancy (EDC).

Billing
- The medical supplier must bill the home uterine monitoring device.
- MHCP will not pay for days in which data is not transmitted from the patient to the nurse.
- MHCP will not pay for “add-on” programs such as blood pressure, pulse, weight gain, or glucose monitoring.

Physician Standby Attendance for Newborn

MHCP will cover a pediatric standby during fetal distress. The following are examples of fetal distress that may warrant a pediatric standby:
- Fetal bradycardia;
- Diabetes in the mother;
- Meconium;
- Premature labor;
- Foul-smelling amniotic fluid; and
- Mother taking certain medications.

If the pediatrician bills for standby services, the reason for the pediatrician giving unusual services to the infant must be thoroughly documented.
Problems such as prolonged labor, failure to progress, and cephalopelvic disproportions are generally not reasons for billing physician standby services unless fetal distress is also a factor.

**Billing Obstetric Services**

Obstetric care can be billed either globally or by components. The billing method used is the provider’s choice, but only one method can be used for each obstetric case. Follow CPT guidelines for global and component billing.

The following services are paid independent of the component and global methods:

- Obstetric laboratory panel, regardless of the billing method used. However, do not bill the CPT obstetric panel code unless all components of the laboratory panel are performed. If all components of the panel are not performed, bill the individual laboratory procedure codes using the appropriate CPT code. Refer to the [Laboratory/Pathology, Radiology and Diagnostic Services chapter](#) (Ch. 11).
- Miscellaneous services (e.g., amniocentesis, ultrasound, fetal non-stress test, fetal Fibronectin, oxytocin challenge, estriol, etc.) billed with the appropriate codes.
- MHCP pays a higher rate for vaginal deliveries for women who previously delivered by cesarean section (VBAC). Use the appropriate CPT procedure code.
- To bill for vaginal delivery of multiple gestation births, use modifier 22 with the appropriate CPT procedure code.
- Bill pregnancy and non-pregnancy related services on separate invoices using appropriate ICD-9-CM diagnoses.
- Bill all services provided to a newborn using the newborn’s MHCP ID number and date of birth. This includes normal newborn care and any inpatient services to the newborn, whether before or after the mother’s discharge. Bill for services provided to the mother using the mother’s MHCP ID number.
- Refer to [Inpatient Hospital Authorization](#) (Ch. 13) for billing instructions when a newborn is transferred to another facility for specialty services.

**HIV Counseling and Testing for Pregnant Women**

Keep a consent form or passive consent notification for HIV testing in the medical record. If the recipient refuses HIV testing after counseling, document the refusal in the medical record. Counseling, screening and education for HIV will be reimbursed if provided, whether or not the recipient consents to have HIV testing. Testing will be reimbursed when consent is given and the testing is complete.

Inform HIV positive pregnant women of their treatment options and of the related HIV services that are available. For more information, call the Program HH office at (651) 431-2414 or 1-800-657-3761.
Definitions

Voluntary Testing: A recipient consents to HIV testing after she receives pretest counseling, is informed of her right to refuse HIV testing, is informed that her refusal will not jeopardize her health benefits, and does not refuse the testing.

Pretest Counseling: Includes the following components:
- Explanation of what HIV is;
- Risk factors for HIV infection and how the virus is transmitted;
- Treatment available for HIV positive women during pregnancy and after delivery;
- Risk factors for the newborn;
- Treatment options for the newborn;
- Rights of the pregnant woman to choose testing;
- Who has access to test results and confidentiality; and
- HIV risk assessment.

Post-test Counseling: Includes the following components:
- Give and explain test results;
- Risk factors for HIV infection and how to reduce the risk of infection;
- If HIV test results are positive, referrals for additional services and information about treatment options;
- Information about how the virus is transmitted and how to reduce the risk of transmission;
- If HIV test results are positive, counseling and/or referrals related to health issues for partner(s) and children that may have been infected;
- Information about the need for repeat follow-up testing whether the results are positive or negative;
- Referral for case management services for HIV positive women and their newborns; and
- Referral to local community support services such as Minnesota AIDS Line (612) 373-AIDS (2437), 1-800-248-AIDS; TTY (612) 373-2465, statewide TTY 1-888-820-2437.

Informed Consent: The recipient received the following information:
- That HIV testing is voluntary;
- The entities who have access to HIV test results (such as third party payers or public health agencies); and
- When, and under what circumstances, this information can be released (such as a legal subpoena).

Confidentiality: Documentation indicating that HIV test results are private. Confidential HIV information can be released only to individuals or entities with the written permission of the recipient. The recipient must be informed about the law that allows the release of the HIV test results (without permission) under limited circumstances.
Positive Test: A test result that is positive for the HIV antibody.

Negative Test: A test result that is negative for the HIV antibody. (Additional follow-up testing, especially for recipients with known recent HIV exposure or with continued risk behaviors, may be needed to determine recent infection.)

Follow-up: Follow-up health services provided to HIV positive women and their infants should include:
- Review of what it means to be HIV positive. (It does not mean that they have AIDS, but it does mean they can infect others);
- Ongoing lab tests to evaluate immune system function;
- Ongoing counseling regarding HIV status and treatment options;
- Emphasis on the need for good health practices;
- Information about current treatment practices to reduce the risk of transmission of HIV and to promote the health of the woman;
- Information that a positive HIV test result can mean that children and partners could be infected with HIV and that those individuals should be referred for medical testing and follow-up;
- Information that a baby born to an HIV positive mother should receive regular medical care from a physician who is knowledgeable about HIV treatment to ensure appropriate care;
- Information that all babies are born with the mother’s antibodies and many months of follow-up are required to determine the newborn’s HIV status. If a baby is not infected, the HIV test will be negative by 18 - 24 months;
- Discussion with women who are breast feeding or considering breast feeding of the risk of transmission of HIV through breast feeding (the Centers for Disease Control and Prevention [CDC] recommends that HIV positive women not breast feed); and
- Emphasize that HIV is not spread through casual contact.

Providers

A physician, certified nurse midwife, Doctor of Osteopathy, physician assistant, certified nurse practitioner, licensed registered nurse, and other physician extenders may provide HIV counseling to pregnant women within their scope of practice.

Billing

- MHCP pays for HIV screening, education, counseling, and testing in addition to routine prenatal care.
- Providers must bill in the CMS-1500 format (paper or electronic) and use the appropriate CPT codes for services related to HIV screening, education, testing, and counseling.
- Physician extenders must use the appropriate modifier.
General Information

MHCP follows the recommendations of CDC, the American Academy of Pediatrics, the American College of Obstetricians and Gynecologists, and the Minnesota Department of Health that advocate HIV testing for all pregnant women.

MHCP recommends that all pregnant recipients receive screening, education, counseling, and voluntary testing for HIV at the first prenatal visit to ensure timely and therapeutic reproductive decision making. Advances in the treatment of HIV infection, and progress in reducing the transmission of HIV infection to newborns, makes early intervention crucial.

Certified Nurse Midwife (CNM) Services

Definitions

Certified Nurse Midwife: An individual licensed as a registered nurse by the Board of Nursing and certified by a national nurse certification organization acceptable to the Board of Nursing to practice as a nurse midwife.

Certified Nurse Midwife Practice: The management of women’s primary health care, focusing on pregnancy, childbirth, the postpartum period, care of the newborn, and the family planning and gynecological needs of women, including diagnosing and providing nonpharmacologic treatment within a system that provides for consultation, collaborative management, and referral as indicated by the health status of patients.

Prescribing: The act of generating a prescription for the preparation of, use of, or manner of using a drug or therapeutic device in accordance with Minnesota law. Prescribing does not include recommending the use of a drug or therapeutic device that is not required by the Food and Drug Administration to meet the labeling requirement for prescription drugs and devices.

Enrollment

A CNM may enroll as an independent MHCP provider and obtain a provider number.

Scope of Service

Payments for services provided by a CNM are limited to those within the CNM’s scope of practice, provided directly to the patient, and in accordance with Minnesota law.

CNMs must practice within a system that provides for consultation, collaborative management, and referral as indicated by the health status of patients.

A CNM may prescribe and administer drugs and therapeutic devices within the scope of practice of a CNM as defined in Minnesota law. In addition, a CNM who is authorized to prescribe drugs is authorized to dispense drugs subject to the same requirement established for the prescribing of drugs.
Billing

- Refer to billing sections in this chapter for detailed instructions on billing maternity and delivery care, enhanced services, and standby attendance for newborn care.
- To receive payment, the CNM’s provider ID number must be entered in box 24K on the CMS-1500 or electronic equivalent. Do not use a modifier when billing CNM services.
- If a CNM provides services as part of a clinic or physician practice (group clinic or physician office), the CMS-1500 should include the clinic or physician group provider number in box 33 in the GRP# field or the electronic equivalent. The CNM provider number must be entered in box 24K on the CMS-1500 or the electronic equivalent.
- If a CNM provides services as part of a CNM practice, the CNM should submit his/her individual provider number in box 33 on the CMS-1500 or the electronic equivalent.

Certified Neonatal Nurse Practitioner (CNP) Services

Definitions

Certified Nurse Practitioner: Is certified for advanced registered nurse practice in a specific field or nurse practice.

Certified Nurse Practitioner Practice: Within the context of collaborative management, diagnosing, directly managing, and preventing acute and chronic illness and disease, and promoting wellness, including providing nonpharmacologic treatment. The certified nurse practitioner is certified for advanced registered nurse practice in a specific field of nurse practitioner-practice.

Collaborative Management: A mutually agreed upon plan between a certified nurse practitioner and one or more physicians or surgeons that designates the scope of collaboration necessary to manage the care of patients. The nurse practitioner and the one or more physicians must have experience in providing care to patients with the same or similar medical problems.

Prescribing: Refer to definition in Certified Nurse Midwife section.

Enrollment

Neonatal nurse practitioners are eligible to enroll in MHCP and bill for services provided when the following criteria are met:

- The neonatal nurse practitioner is certified as a neonatal nurse practitioner by the Minnesota Board of Nursing and according to Minnesota law; and
- The neonatal nurse practitioner is in independent practice.

Covered Services

Services performed by a certified neonatal nurse practitioner are covered under the following circumstances:

- The service provided is a physician service.
- The service is within the scope of practice of the certified neonatal nurse practitioner.
• The service is a covered service.
• The service is medically necessary.
• The service, if provided on an inpatient basis, is not included as part of the cost for inpatient services included in the hospital’s operating payment rate. If services have been billed historically by a hospital as inpatient services, the costs for these services are included in the calculation of the hospital’s payment. Therefore, these services cannot be billed separately by another provider.
• The service is within the scope of practice of the CNP as described in MS 148.171-148.285.

Billing

Refer to the Nurse Practitioner section of the Physician Services chapter (Ch. 6) for information on billing procedures.

Abortion Services

This section includes MHCP coverage and billing policy for induced abortions and abortion related services.

Covered Services

MA and GAMC

Payment for induced abortions and abortion related services provided to MA and GAMC recipients is available under the following conditions:

• The woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by, or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless the abortion is performed;
• Pregnancy resulted from rape;
• Pregnancy resulted from incest; or
• Abortion is being done for other health/therapeutic reasons.

MinnesotaCare

Payment for induced abortions and abortion related services provided to MinnesotaCare recipients is available under the following conditions:

• Pregnancy resulted from rape;
• Pregnancy resulted from incest;
• Abortion is being done to prevent substantial and irreversible impairment of a major bodily function; or
• Continuation of the pregnancy would endanger the woman’s life.

Women enrolled in MinnesotaCare who seek an abortion for health/therapeutic reasons must apply for Medical Assistance (MA). The recipient must be covered under MA for the month that
the abortion for health/therapeutic reasons is performed. The coverage can be retroactively applied for up to three months. DHS form DHS-3239 is used to apply for MA coverage. MA for pregnant women requires that a woman complete the application and meet income/resource guidelines.

**Abortion Related Services**

Abortion related services are services directly related to performing an induced abortion. Examples of abortion related services include:

- Hospitalization when the abortion is performed in an inpatient setting;
- The use of a facility when the abortion is performed in an outpatient setting;
- Counseling related to the abortion;
- General or local anesthesia provided in conjunction with the abortion;
- Drugs provided during or directly after the abortion (treatment of infection or other complications as a result of the abortion is a covered service);
- Uterine ultrasound, performed immediately following abortion;
- Abortion service codes (surgical induced abortion and medical abortion service codes);
- Supplies (trays, Laminaria, etc.);
- Drugs (anti-anxiety, narcotics, anesthetics, antibiotics, etc.); and
- Cervical block and/or related services.

**Non-Abortion Related Services**

Services that are not abortion related include (this list is not all-inclusive):

- A history and physical exam;
- Tests for pregnancy and venereal disease;
- Blood tests;
- Rubella titre;
- Gonadotropin levels (hCG);
- Hemoglobin and hematocrit;
- The GAM (TM);
- A pap smear;
- Laboratory examinations for the purpose of detecting fetal abnormalities;
- Family planning services provided as a separate service;
- Uterine ultrasound to confirm pregnancy;
- RhD drugs; and
- Drugs used in conjunction with pregnancy, or post-pregnancy state.

**Billing**

- All induced abortion claims must be accompanied by the Medical Necessity Statement (DHS-2327).
• All induced abortion and abortion related services whether provided to women who are on fee-for-service programs or are enrolled in a health plan are paid on a fee-for-service basis and should be billed to DHS. See Abortion Related Services list above.

• Non-abortion related services for women on fee-for-service programs must be billed on a separate claim and billed to DHS. Claims that include both abortion and non-abortion services will be denied. See Non-Abortion Related Services list above.

• Non-abortion related services provided to women enrolled in health plans must be billed to the health plan. See Non-Abortion Related Services list above.

• Services performed for pregnancy, but performed prior to, on the day of, or after an induced abortion are billable to the health plan (examples: diagnostic “V” codes – V22 [preg], V23 [preg], V25 [contraceptive management], and V26 [procreative management]).

• Other non-induced abortion diagnoses such as a pregnancy with fetal demise, missed abortion, spontaneous abortion, etc., are not subject to this process. They do not require induced abortion attachments and are billed to the health plan for women enrolled in a health plan and to DHS for women in fee-for-service programs.

• Abortion services performed out of state or the contiguous Minnesota counties require prior authorization.

• Health Plans must pay for non-abortion related services regardless of whether or not they have a contract with the provider of abortion services.

• Billing for abortion services requires that the first diagnosis code indicates ICD-9-CM codes: 635.0 through 635.9, 637.0 through 637.9, 69.01, 69.51 or 75.0. If a second code is used, this code must relate to the abortion procedure. Do not include family planning, contraceptive management, or pregnancy related ICD-9 codes. If non-abortion ICD-9 codes are used, the claim will be denied.
  - Box 24H on the CMS-1500 or the electronic equivalent must remain blank when submitting a claim for an abortion. Do not enter a code in box 24H for an abortion claim.
  - CPT procedure code 59200 (insertion of cervical dilator) can be billed separately only when the service is provided on a day other than the day the abortion was performed.
  - CPT procedure code 88300 (surgical pathology, gross examination) may be billed only by a pathologist.
  - Medical abortions: HCPCS codes S0190 and S0901 should be used. Induced abortion ICD-9 codes should be used. Do not bill any non-abortion related services with medical abortion services.

S0190 mifepristone and S0191 misoprostol can be billed only when used together and not within 3 weeks of a surgical abortion. These codes cannot be billed with an induced abortion CPT procedure code. The FDA has approved mifepristone with administration guidelines. Information regarding FDA guidelines may be obtained at http://www.fda.gov/cder/drug/infopage/mifepristone/medguide.htm.
Presumptive Eligibility for Breast and/or Cervical Cancer Services

These services are for women screened through the Minnesota Department of Health (MDH) Sage Screening Program for treatment of breast or cervical cancer. Presumptive eligibility may be determined by a Sage Screening Program provider for Medical Assistance (MA) prior to eligibility being determined. Providers who may provide presumptive eligibility determination are included in a list available from MDH. Contact the Sage Screening provider if eligibility verification does not show MA eligibility prior to providing services.

Granting Presumptive Eligibility

- Participating providers must complete and sign the Temporary Medical Assistance Authorization Form (DHS-3525B), which serves as proof of eligibility until the eligibility is updated.
- County workers will receive the DHS-3525B to complete eligibility determination, usually within 48 hours.
- Recipients (women) are granted presumptive eligibility for at least 30 calendar days.

Legal References

MS 254A.17, subd. 1a (pregnant women with children)
MS 256B.0625, subd. 13
MS 256B.0625, subd. 14 (prenatal with HIV)
Minnesota Rules 9505.0235
Minnesota Rules 9505.0320 (certified nurse midwife)
Minnesota Rules 9505.0355 (prenatal birth classes)
42 CFR 440.165 & 441.21 (certified nurse midwife)
42 CFR 441.250-441.259 (hysterectomy)
42 CFR 441.200-441.208 (abortion)